

Import LC Issuance User Guide
Oracle Banking Trade Finance Process Management
Release 14.6.0.0.0

Part No. F57088-01

May 2022

Oracle Banking Trade Finance Process Management - Import LC Issuance User Guide
Oracle Financial Services Software Limited

Oracle Park
Off Western Express Highway
Goregaon (East)
Mumbai, Maharashtra 400 063
India
Worldwide Inquiries:
Phone: +91 22 6718 3000
Fax: +91 22 6718 3001
www.oracle.com/financialservices/

Copyright © 2018-2022, Oracle and/or its affiliates. All rights reserved.

Oracle and Java are registered trademarks of Oracle and/or its affiliates. Other names may be trademarks of their respective owners.

U.S. GOVERNMENT END USERS: Oracle programs, including any operating system, integrated software, any programs installed on the hardware, and/or documentation, delivered to U.S. Government end users are “commercial computer software” pursuant to the applicable Federal Acquisition Regulation and agency-specific supplemental regulations. As such, use, duplication, disclosure, modification, and adaptation of the programs, including any operating system, integrated software, any programs installed on the hardware, and/or documentation, shall be subject to license terms and license restrictions applicable to the programs. No other rights are granted to the U.S. Government.

This software or hardware is developed for general use in a variety of information management applications. It is not developed or intended for use in any inherently dangerous applications, including applications that may create a risk of personal injury. If you use this software or hardware in dangerous applications, then you shall be responsible to take all appropriate failsafe, backup, redundancy, and other measures to ensure its safe use. Oracle Corporation and its affiliates disclaim any liability for any damages caused by use of this software or hardware in dangerous applications.

This software and related documentation are provided under a license agreement containing restrictions on use and disclosure and are protected by intellectual property laws. Except as expressly permitted in your license agreement or allowed by law, you may not use, copy, reproduce, translate, broadcast, modify, license, transmit, distribute, exhibit, perform, publish or display any part, in any form, or by any means. Reverse engineering, disassembly, or decompilation of this software, unless required by law for interoperability, is prohibited.

The information contained herein is subject to change without notice and is not warranted to be error-free. If you find any errors, please report them to us in writing.

This software or hardware and documentation may provide access to or information on content, products and services from third parties. Oracle Corporation and its affiliates are not responsible for and expressly disclaim all warranties of any kind with respect to third-party content, products, and services. Oracle Corporation and its affiliates will not be responsible for any loss, costs, or damages incurred due to your access to or use of third-party content, products, or services.

Contents

Oracle Banking Trade Finance Process Management	1
Overview.....	1
Benefits.....	1
Key Features	1
Import LC Issuance	2
Common Initiation Stage.....	2
Registration.....	3
Application Details	5
LC Details	7
Miscellaneous.....	12
Document Linkage.....	14
OBTFPM- OBDX Bidirectional flow	18
Scrutiny	20
Main Details.....	24
Availability.....	28
Payment Details	36
Additional Fields	40
Additional Details.....	41
Summary	58
Data Enrichment	60
Main Details.....	62
Availability.....	62
Payment Details	62
Documents & Conditions	63
Additional Fields	64
Advices	64
Additional Details.....	65
Settlement Details	81
Summary	81
Customer Response - Draft Confirmation	84
Customer Response.....	84
Exceptions.....	86
Exception - Amount Block	86
Exception - Know Your Customer (KYC).....	89
Exception - Limit Check/Credit	91
Multi Level Approval.....	93
Authorization Re-Key.....	94
Summary	95
Customer - Acknowledgement	97
Customer - Reject Advice	98
Reject Approval.....	99
Application Details	99
Summary	99
Action Buttons	99
Reference and Feedback	103
References.....	103

Documentation Accessibility	103
Feedback and Support.....	103

Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing Trade Finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction

Overview

OBTFPM is a Trade Finance middle office platform, which enables bank to streamline the Trade Finance operations. OBTFPM enables the customers to send request for new Trade Finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

Benefits

OBTFPM helps banks to manage Trade Finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with Back End applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

Key Features

- Stand-alone system that can be paired with any Back End application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

Import LC Issuance

As part of Import LC Issuance the applicant (importer or customer) approaches a bank and requests the bank to issue a Letter of Credit on their behalf to the beneficiary (exporter). The Letter of Credit stipulates the required documents and the conditions to be met by the beneficiary while shipping under the said LC. On receipt of credit compliant documents, the issuing bank is obliged to make payment under the LC.

The various stages involved for issuance of an Import Letter of Credit are:

- Receive and verify documents (Non Online Channel)- Registration stage
- Input application details
- Upload of related mandatory and non mandatory documents
- Verify documents and capture details (Online/Non Online Channels)- Scrutiny stage
- Input/Modify details of LC - Data Enrichment stage
- Check for limit availability
- Check balance availability for amount block
- Check for sanctions & KYC status
- Earmark limits/Create amount block for cash margin/charges
- Capture remarks for other users to check and act
- Generate acknowledgements and draft LC copies
- Notify customer on any negative statuses in any of the stages to the applicant
- Hand off request to back office

In the following sections, let's look at the details for Import LC issuance process.

This section contains the following topics:

Common Initiation Stage	Registration
Click Fetch to retrieve the details from DMS. System Displays all the documents available for the given Document Type and Document Code for the Customer.	Data Enrichment
Customer Response - Draft Confirmation	Exceptions
Multi Level Approval	Customer - Acknowledgement
Customer - Reject Advice	Reject Approval

Common Initiation Stage

The user can initiate the new import LC issuance request from the common Initiate Task screen.

1. Using the entitled login credentials, login to the OBTFPM application.
2. Click **Trade Finance > Initiate Task**.

The screenshot shows the Oracle 'Initiate Task' registration form. The sidebar menu on the left lists various tasks, with 'Initiate Task' highlighted. The main form area has a header 'Initiate Task' and a sub-header 'Registration'. Below this, there are three input fields: 'Process Name' with a dropdown menu showing 'Import LC Issuance', 'Customer Id' with a text input field containing '006217' and a search icon, and 'Branch' with a dropdown menu showing 'PK2-FLEXCUBE UNIVERSAL BANK'. At the bottom right of the form, there are two buttons: 'Proceed' and 'Clear'.

Provide the details based on the description in the following table:

Field	Description
Process Name	Select the process name to initiate the task.
Customer Id	Select the customer id of the applicant or applicant's bank.
Branch	Select the branch.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description
Proceed	Task will get initiated to next logical stage.
Clear	The user can clear the contents update and can input values again.

Registration

The user initiates the transaction from Registration Stage, if the LC Issuance request is received from the Applicant at the branch counters either by fax, mail or physical application.

During Registration stage, user can capture the basic details of the application, check the signature of the applicant and upload the related documents submitted by the applicant. It also enables the user to capture some additional product related details as an option. On submit of the request, the customer will be notified with an acknowledgment and the request will be available for an LC Issuance expert to handle the request in the next stage.

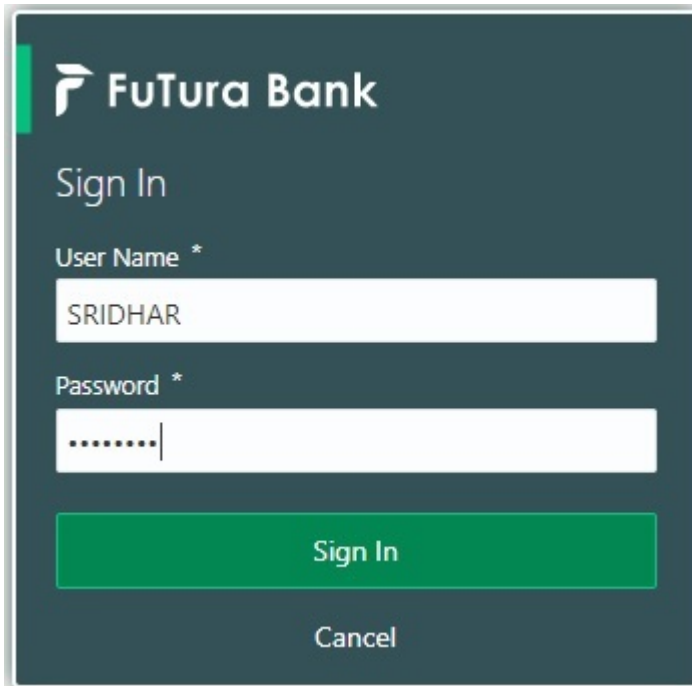
For request received vide MT798 along with sub message 770,700,701, when customer initiates an Import LC request through SWIFT (Corporate to SWIFT) channel, the MT798 message containing the request is parsed and based on the STP parameters maintained, can create a Import LC Issuance Task

in OBTFPM. The MT798 message, is routed to the Issuing Bank selected by the customer. Issuing Bank receives the MT798 and creates a task in Import LC Issuance Process in Scrutiny stage in OBTFPM.

The Incoming MT798 message contains 3 sections.

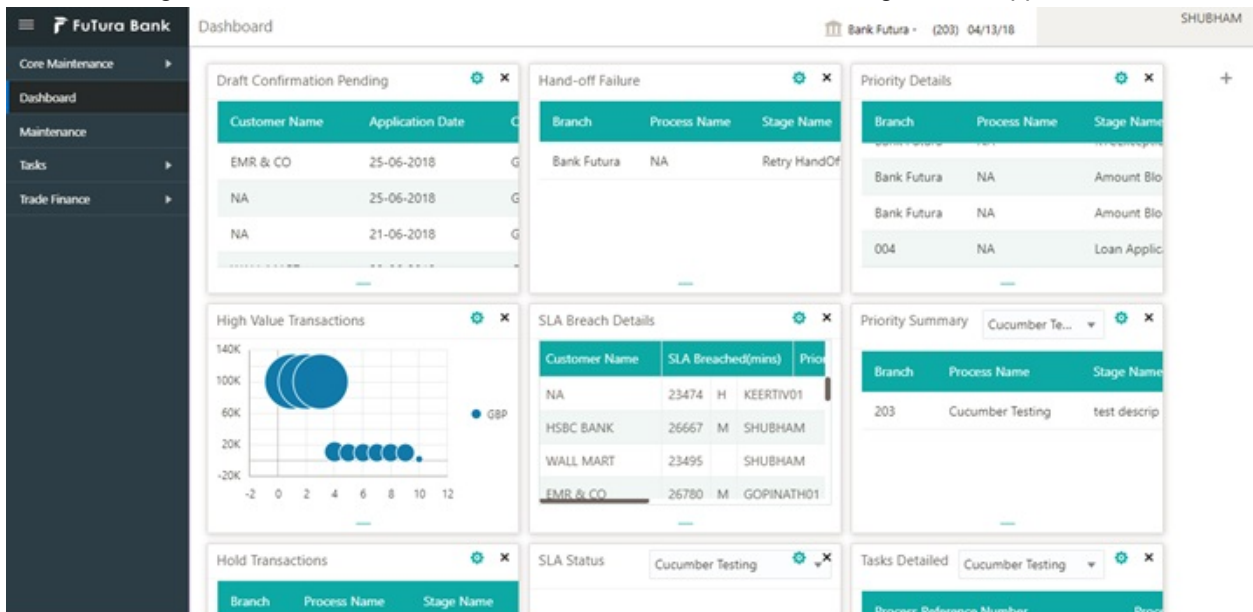
- MT798 Index Message which contains the Sub message type 770
- MT798 Details Message which contains the Sub Message type 700 (MT700 tags with values)
- MT798 Extension Message which contains the Sub Message type 701 (MT701 Extension message tag values) if applicable.

1. Using the entitled login credentials for Registration stage, login to the OBTFPM application.



The image shows the 'FuTura Bank' login interface. It has a dark blue header with the bank's logo and name. Below the header, the text 'Sign In' is displayed. There are two input fields: 'User Name *' with the value 'SRIDHAR' and 'Password *' with masked characters. At the bottom, there are two buttons: a green 'Sign In' button and a white 'Cancel' button.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



3. Click Trade Finance> Import - Documentary Credit> Import LC Issuance.

Priority Summary

Branch	Process Name	Stage Name	No of High Priority Items	No of Medium Priority Items	No of Low Priority Items
GS1	Export LC Amendment Beneficiary Consent	Registration	0	0	0
GS1	Export LC Amendment Beneficiary Consent	Approval1	0	0	0
GS1	Export LC Amendment Beneficiary Consent	Approval2	0	0	0

High Priority Tasks

Branch	Process Name	Stage Name	Process Reference Number	Customer Name	User ID
NA	NA	Scrutiny	203ILCIS0022468	Marks and Spencer	OBDX01
NA	NA	Scrutiny	203ILCIS0022466	Marks and Spencer	OBDX01
NA	NA	Scrutiny	203ILCIS0022464	Marks and Spencer	OBDX01

Pending Exception Approval

Customer Name	Stage Name	Process Reference Number	Process Name	Branch Name	Currency
EMR & CO	KYC Exception Approval	GS1ILCIS0022081	NA	FBN UK	GBP
NESTLE	Amount Block Exception Approval	203ILCAM0022380	NA	DEMO BANK	GBP

The Registration stage has two sections Application Details and LC Details. Let's look at the details of Registration screens below:

Application Details

Application Details

Received From - Customer ID: 000325

Submission Mode: Desk

Application Date: May 5, 2021

Received From - Customer Name: NATIONAL FREIGHT CORP

32B - Currency Code, Amount: USD \$120.00

Copy LC

Branch: PK1 - Oracle Banking Trade Finan...

Process Reference Number: PK1ILCI000010882

Template Name

LC Details

Revolving: ☐

Advising Bank:

31C - Date of Issue: May 5, 2021

51A - Applicant Bank

Limits/Collateral Required: ☒

Back to Back LC: ☐

LC Type: Select

40A - Form of Documentary Credit: IRREVOCABLE

40E - Applicable Rules: UCP LATEST VERSION

Applicant: 000325 NATIONAL FREIGHT CORP

39C - Additional Amount Covered

Auto Close: ☐

Product Code: 20 - Documentary Credit Number

31D - Date of Expiry

Beneficiary:

Accountee:

Closure Date

Product Description: 23 - Reference To Pre-Advice

31D - Place of Expiry

39A - Percentage Credit Amount Tolerance: /

Amount in Local Currency: GBP

Buttons: Hold, Cancel, Save & Close, Submit

Provide the Application Details based on the description in the following table. In case of MT798, Application Details are defaulted to SWIFT.

Field	Description	Sample Values
Received From Applicant bank	Import LC Issuance request can be received either from the applicant or the applicant's bank. Toggle on: Switch on the toggle if Import LC Issuance request is received from applicant's bank. Toggle off: Switch off the toggle if Import LC Issuance request is received from applicant.	Toggle off
Received From - Customer ID	Select the customer id of the applicant or applicant's bank. If the selected customer/party is blacklisted the system displays a warning message.	001344
Received From - Customer Name	Name of the customer or applicant. This field will be auto populated based on the selected customer ID.	EMR & CO
Branch	Select the branch. Customer's home branch will be displayed based on the customer ID and it can be changed, if required.  Note Once the request is submitted, Branch field is non-editable.	203-Bank Futura -Branch FZ1
Priority	Set the priority of the Import LC issuance request as Low/Medium/High. If priority is not maintained for a customer, 'Medium' priority will be defaulted.	High
Submission Mode	Select the submission mode of Import LC Issuance request. By default the submission mode will have the value as 'Desk'. Desk- Request received through Desk Fax- Request received through Fax Email- Request received through Email Courier- Request received through Courier	Desk
Currency Code, Amount	Select the currency code and tab out. Provide the value of LC (with decimal places) as per currency type.	GBP 1,000.00
Process Reference Number	Unique sequence number for the transaction. Process Reference Number is auto generated by the system based on process name and branch code.	203ILCISS000000500
Customer Reference Number	Enables the user to provide a unique Customer Reference Number which will be the User Reference of the LC.	

Field	Description	Sample Values
Application Date	By default, the application will display branch's current date and enables the user to change the date to any back date.  Note Future date selection is not allowed.	04/13/2018
Copy LC	If an existing LC is to be copied, the details of the LC is captured here. Provide the LC number, the system populates the details of the LC. Alternately, the user can search the LC from the LOV.	
Template name	Select a template if the applicant details are already captured and the data can be reused with the template to reduce the effort. Click the look up icon to search the Template code with Template Code or Template Description. Template Name Template Code Template Description Fetch	

LC Details

Registration user can provide LC details in this section. Alternately, LC details can be provided by Scrutiny user.

LC Details

Revolving

Advising Bank

31C - Date of Issue

May 5, 2021

51A - Applicant Bank

Limits/Collateral Required

Back to Back LC

LC Type

40A - Form of Documentary Credit

IRREVOCABLE

40E - Applicable Rules

UCP LATEST VERSION

Applicant

000325 NATIONAL FREE

39C - Additional Amount Covered

Auto Close

Product Code

20 - Documentary Credit Number

31D - Date of Expiry

Beneficiary

Accountee

Closure Date

Product Description

23 - Reference To Pre-Advice

31D - Place of Expiry

39A - Percentage Credit Amount Tolerance

Amount In Local Currency

GBP

Hold

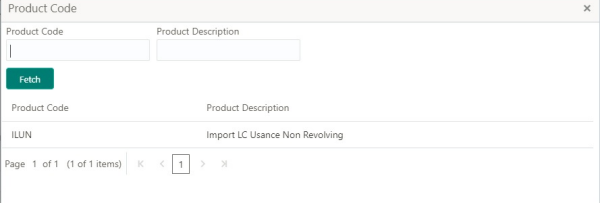
Cancel

Save & Close

Submit

Provide the LC Details based on the description in the following table:

Field	Description	Sample Values
Revolving	Toggle On: LC type is Revolving. Toggle Off: LC is type Non Revolving.	

Field	Description	Sample Values
LC Type	Select the applicable LC Type from LOV: • Sight • Usance • Mixed	
Product Code	Select the applicable product code. Click the look up icon to search the product code with code or product description.  Alternatively, enter the product code and on tab out system will validate and populate the selected product code.	ILUN
Product Description	Product Description will be auto populated by the application based on the Product Code.	Import LC Usance Non Revolving
Advising Bank	Select the advising bank. Click the look up icon to search the advising bank based on Party ID/Party Name. You can also input the party ID and on tab out system will validate and populate the 'Advising Bank' name.  Note In case the selected Bank is not RMA Compliant, the system prompts the user to use a different advising bank or use non SWIFT Media to transmit the LC and displays error message "RMA arrangement not available, please change the bank or use MAIL Medium".  Note If the party is blacklisted the system displays a warning message.	001342 -HSBC Bank
40A - Form of Documentary Credit	Select the type of LC (Documentary Credit) as per the requirement. Default LC type is Irrevocable .	Irrevocable
Documentary Credit Number	Simulated from the back office and displayed in the application. Number will be populated on the selection of Product Code .	203ILUN18103 ACCI

Field	Description	Sample Values
Contract Reference Number	Contract Reference Number will be auto populated by the system based on selection of Product Code .	
Reference to Pre-Advice	Provide details of Pre-Advice, if issued by the bank.	
Date Of Issue	Application will default the branch's current date in date of issue. User cannot change the defaulted date. Application will populate the Date of Issue field with branch date on approval if date of approval is later than date of Registration.	04/13/18
Applicable Rules	Select the applicable rules for the LC. Default rule as UCP Latest Version.	UCP Latest Version.
Date Of Expiry	Provide the expiry date of the LC. The expiry date can be equal or greater than the issue date. If the Expiry Date is earlier than the issue date, system will provide an error and if the 'Expiry Date is equal to the Issue Date', system will provide a alert message.	09/30/18
Place of Expiry	Provide the place of expiry of LC.	London
Applicant Bank	In application details, if Received From Applicant Bank toggle is on, the applicant bank details will be displayed here. If request is not received from applicant bank, this field must be blank.	001343 Bank of America
Applicant	Applicant details will be auto populated based on the details provided in Application Details section. If the request is received from Applicant bank, select the applicant from the List of Values.  Note If the selected applicant/ party is blacklisted the system displays a warning message.	001344 EMR & CO

Field	Description	Sample Values
Beneficiary	Select the beneficiary of the LC. Click the look up icon to search the beneficiary based on Party ID/Party Name. If beneficiary is not a customer of the bank, then choose WALKIN customer id and provide the beneficiary details. If beneficiary is a customer and KYC status is not valid, then system will display alert message.  Note If the selected Beneficiary/ party i is blacklisted the system displays a warning message.	001346 Marks and Spencer
Percentage Credit Amount Tolerance	Enables the user to provide tolerance (+/-) on the total LC value. Tolerance value must be either one or two digit value. If tolerance is more than 10%, alert message will be displayed.	8/2
Limits/Collateral Required	Toggle On: Set the Toggle On to enable limit check during the process flow of this request. Toggle Off: Set the Toggle Off to disable limit check during the process flow of this request.	
Additional Amount Covered	Provide additional amount included in LC.	
Accountee	User can select the accountee from LOV.	8/2
Amount In Local Currency	After the tab out of 'Currency Code, Amount' field, system populates the Local currency and amount value in this field. System fetches the local currency equivalent value for the LC amount from back office (with decimal places).	
Back to Back LC	Flag to check if the Import LC is a back to back LC. Toggle On: Set the Toggle On if back to back LC is applicable. Toggle Off: Set the Toggle Off if back to back LC is applicable.	
Auto Close	Toggle On: Enable the toggle, if Auto close is required for that transactions. Toggle Off: Disable the toggle, if Auto close is not required for that transactions.	

Field	Description	Sample Values
Closure Date	System defaults the "Closure Date" with the value "Expiry Date" + No of Closure days maintained in the respective Product in which the contract has been created. System automatically close the contract on the specified "Closure Date" if "Auto Close" is selected as "Yes" for the specific contract. User can modify the system defaulted "Closure Date" and system should validate the same for the below conditions, • Closure Date must be after the Issue Date. • Closure Date must be after the Expiry Date. • Closure Date cannot be blank, when the "Auto Close" is checked.	
Export LC Reference	Export LC Reference that is to be linked to Back to back LC. User can select o enter the underlying Export LC reference.	
Export LC Available Amount	The system displays the available amount under the Export LC.	
Export LC Expiry Date	The system displays the expiry date of the underlying Export LC.	
Export LC Shipment Date	The system displays the latest shipment date of the underlying Export LC.	

Miscellaneous

Import LC Issuance

Signatures Documents Remarks Customer Instruction Common Group Messages

Application Details

Received From Applicant Bank

Priority
 Medium

Customer Reference Number

Received From - Customer ID
 000325

Submission Mode
 Desk

Application Date
 May 5, 2021

Received From - Customer Name
 NATIONAL FREIGHT CORP

32B - Currency Code, Amount
 USD \$120.00

Copy LC

Branch
 PK1-Oracle Banking Trade Finan...

Process Reference Number
 PK1ILC000010882

Template Name

LC Details

Revolving
☐

Advising Bank

31C - Date of Issue
 May 5, 2021

51A - Applicant Bank

Limits/Collateral Required
☒

Back to Back LC
☐

LC Type
 Select

40A - Form of Documentary Credit
 IRREVOCABLE

40E - Applicable Rules
 UCP LATEST VERSION

Applicant
 000325 NATIONAL FREIGHT CORP

39C - Additional Amount Covered

Auto Close
☐

Product Code

20 - Documentary Credit Number

31D - Date of Expiry

Beneficiary

Accountee

Closure Date

Product Description

23 - Reference To Pre-Advice

31D - Place of Expiry

39A - Percentage Credit Amount Tolerance

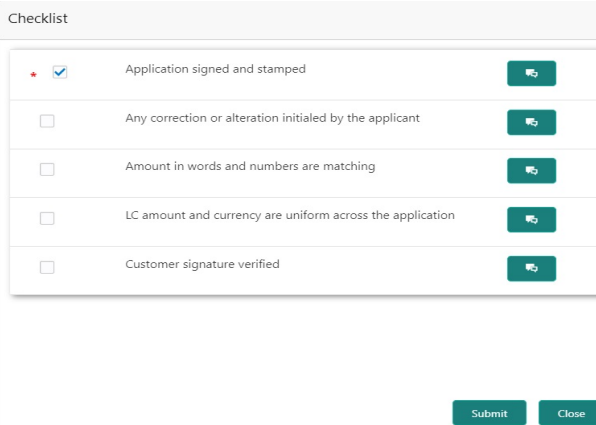
Amount In Local Currency
 GBP

Hold Cancel Save & Close Submit

Provide the Miscellaneous Details based on the description in the following table:

Field	Description	Sample Values
Signature	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is available, system should display all the signatures.	
Documents	Upload the required documents. Application displays mandatory documents to be uploaded for Import LC Issuance. Place holders are also available to upload additional documents submitted by the applicant. The Document already uploaded in the DMS system should be available for view and link from OBTFPM.	
Remarks	Provide any additional information regarding the LC. This information can be viewed by other users processing the request.	

Field	Description	Sample Values
Customer Instructions	Click to view/ input the following • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
Action Buttons		
Submit	On submit, system will trigger acknowledgment to the customer and give confirmation message for successful submission. Task will get moved to next logical stage of Import LC Issuance. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in 'My Task' queue for working later. This option will not submit the request.	
Cancel	Cancels the Import LC Issuance Registration stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	

Field	Description	Sample Values
Checklist	Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not marked, system will display an error on submit. 	

Document Linkage

The user can link an existing uploaded document in any of the process stages.

In OBTFPM, system should display Document Ids available in the DMS system. In DMS system, the documents can be Uploaded and stored for future access. Every document stored in DMS will have a unique document id along with other Metadata. The uploaded Document image in the DMS should be available/queried in the Process flow stage screens to link with the task by using the Document ID.

System displays the Documents ids which is not linked with any of the task. Mid office should allow either upload the document or link the document during task processing. The Mid office should allow to Link the same Document in multiple tasks.

1. Navigate to the Registration screen.

2. On the header of **Registration** screen, click **Documents** button. The Document pop-up screen appears.

Documents

Document Status All ▼

Letter of Credit
Pro-forma Invoice

Letter of Credit
Application Form

+

Close

3. Click the Add Additional Documents button/ link. The **Document** screen appears.

Document

Document Type *
Letter of Credit ▼

Document Title *

Remarks

Document Code *
Insurance Policy ▼

Document Description

Document Expiry Date

Drop files here or click to select

Link Document

Selected files: []

Upload Link Cancel

Field	Description	Sample Values
Document Type	Select the Document type from list. Indicates the document type from metadata.	
Document Code	Select the Document Code from list. Indicates the document Code from metadata.	
Document Title	Specify the document title.	

Field	Description	Sample Values
Document Description	Specify the document description.	
Remarks	Specify the remarks.	
Document Expiry Date	Select the document expiry date.	
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.	

4. Select the document to be uploaded or linked and click the **Link Document** link. The link Document pop up appears.

The value selected in Document Type and Document code of Document screen are defaulted in the Link Document Search screen.

5. Click **Fetch** to retrieve the details from DMS. System Displays all the documents available for the given Document Type and Document Code for the Customer.

Field	Description	Sample Values
Customer ID	This field displays the transaction Customer ID.	
Document ID	Specify the document Id.	
Document Type	Select the document type from list.	
Document Code	Select the document code from list.	
Search Result		
Document ID	This field displays the document Code from metadata.	
Customer ID	This field displays the transaction Customer ID.	
Document Type	This field displays the document type from metadata.	
Document Code	This field displays the document code from metadata.	

Field	Description	Sample Values
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.	

6. Click **Link** to link the particular document required for the current transaction.

The screenshot shows a 'Documents' window with a 'Document Status' dropdown set to 'All'. Below this, there are three document cards. The first two are 'Letter of Credit' and 'Pro-forma Invoice', both with an 'Application Form' label and an upload icon. The third card is for a file named 'wqwq.png', showing it was created on 2022-06-28 by PERI01. Below the cards is a 'Close' button.

Post linking the document, the user can View, Edit and Download the document.

7. Click **Edit** icon to edit the documents. The Edit Document screen is displayed.

The 'Edit Document' form contains the following fields:

- Document Id:** 2400
- Application Reference Number:** PK2ILCI000019041
- Document Type Id:** TFPM_DOCTYPE001
- Remarks:** (empty text area)
- Document Title:** wqwq
- Entity Reference Number:** PK2ILCI000019041
- Document Description:** (empty text area)
- Document Expiry Date:** Jun 29, 2022

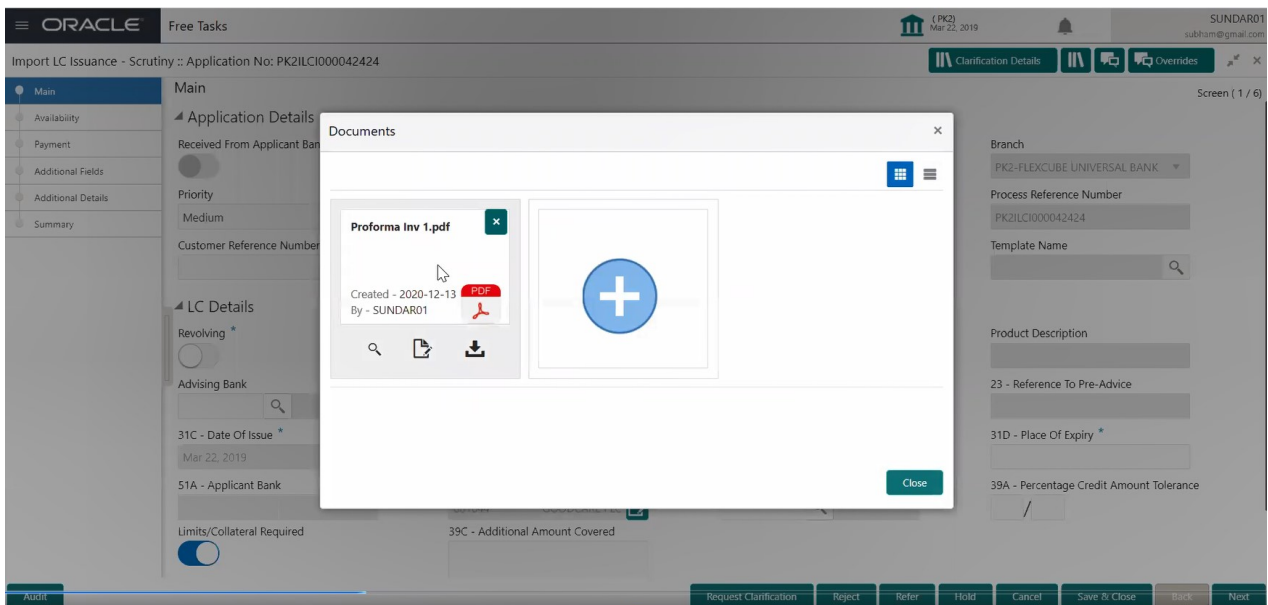
At the bottom, there is a dashed box with the text 'Drop files here or click to select' and a label 'Current selected files: []'. Below this are 'Update' and 'Cancel' buttons.

OBTFPM- OBDX Bidirectional flow

As a part of Digital Experience, customers can initiate Trade Finance Transactions from online channels and the respective task will be available in OBTFPM for further handling.

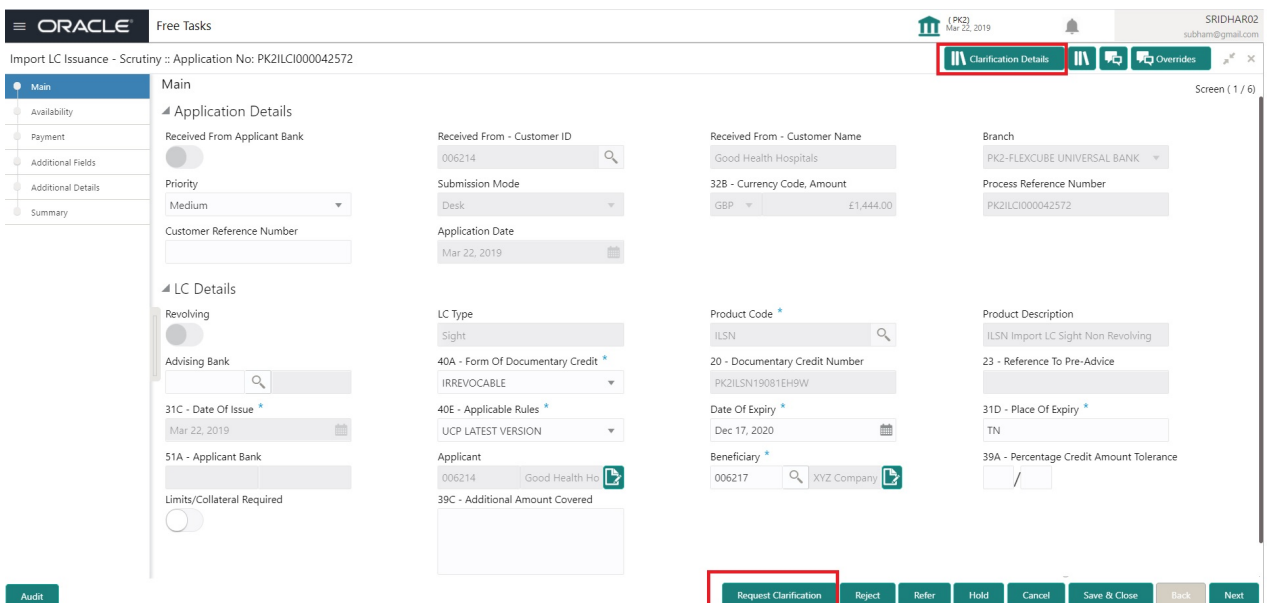
OBTFPM user, for task received from online channel, raise clarification and receive response from the customer.

1. Customer initiates the Trade Finance transaction in Online channel (OBDX) and upload the necessary documents.



2. The task created will land in the Scrutiny stage of OBTFPM for handling by Trade expert for reviewing and identifying mismatch/incomplete data.

3. In the Scrutiny, Data Enrichment or Approval the bank user may require clarification from customer, OBTFPM user clicks **Request Clarification** button to request for online clarification from customer.



4. The **Request Clarification** detailed screen appears, user enters the information and clicks **Save**, the information should be sent to customer.

5. OBTFPM user should be able to see the details in the View Clarification window and the status will be Clarification Requested. The user can click Re clarification if required.

Import LC Issuance - Scrutiny :: Application No: PK2ILCI000042424

Clarification Details - Application No : PK2ILCI000042424

Proforma Invoice is not uploaded. Instead some other document is uploaded as proforma invoice.
Please delete the present document and upload the proforma invoice

Save & Close

6. The task goes to **Awaiting Customer Clarification** state until the response received from the customer.

ORACLE

Menu Item Search...

Core Maintenance > Dashboard > Machine Learning > Maintenance > Security Management > Tasks > Awaiting Customer Clarification > Completed Tasks > Free Tasks > Hold Tasks > My Tasks > Search > Supervisor Tasks > Trade Finance > Administration > Bank Guarantee Advise > Bank Guarantee Issuance > Enquiry > Export - Documentary Collection

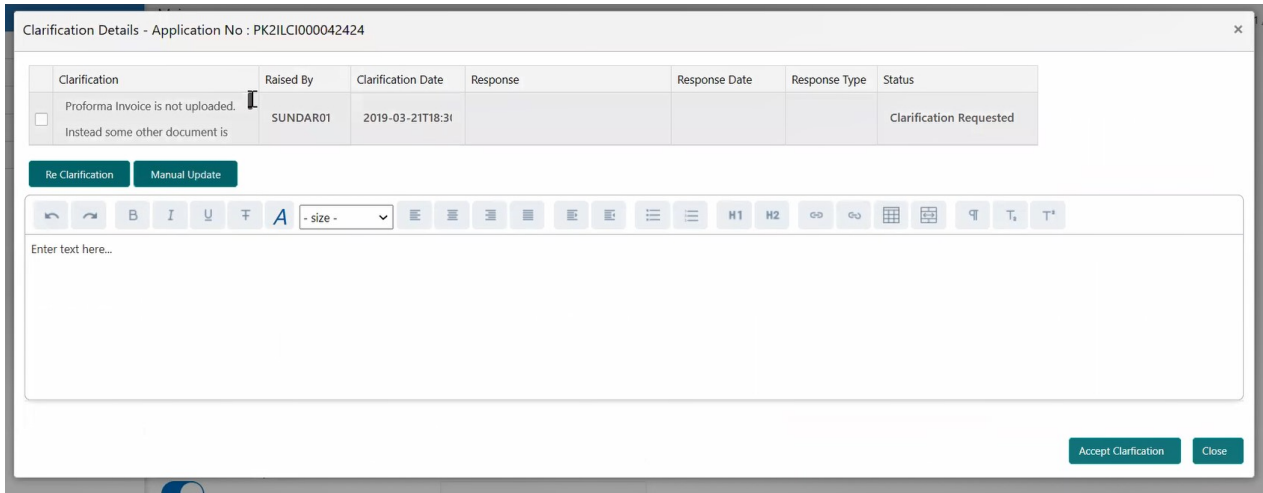
Awaiting Customer Clarification

Refresh Assign Flow Diagram

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number	Amount
Edit	M	Import LC Issuance	PK2ILCA000042586	PK2ILCA000042586	Scrutiny	20-12-16	PK2	006466	

Page 1 of 1 (1 - 1 of 1 items) < 1 >

7. Click **Edit**.



Clarification Details - Application No : PK2ILCI000042424

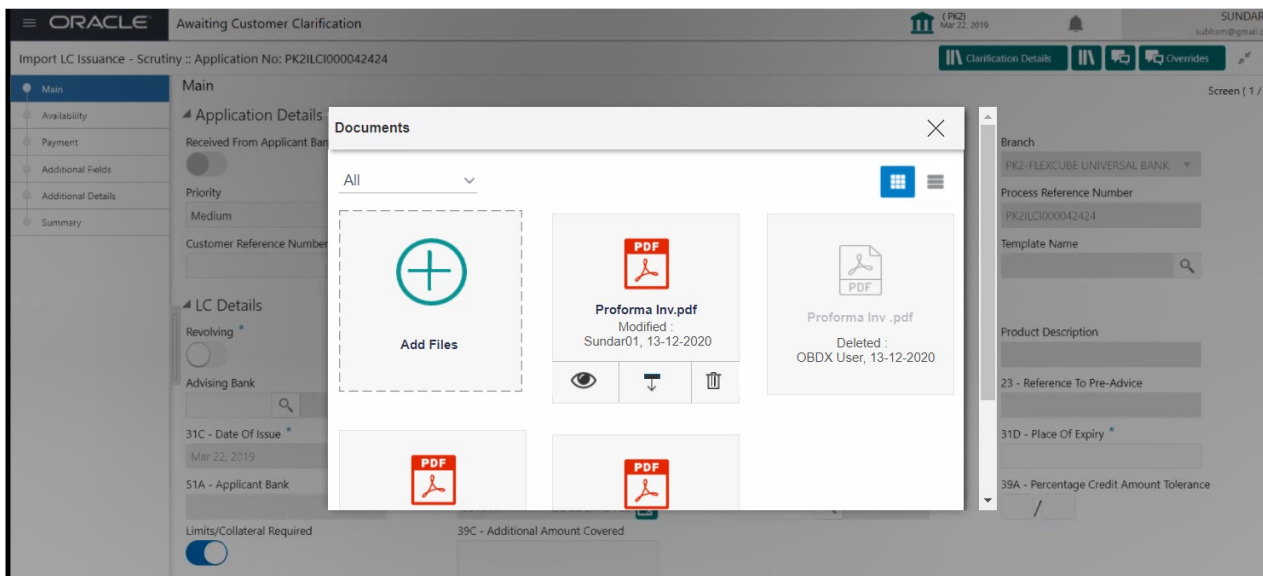
Clarification	Raised By	Clarification Date	Response	Response Date	Response Type	Status
☐ Proforma Invoice is not uploaded. Instead some other document is	SUNDAR01	2019-03-21T18:31				Clarification Requested

Re Clarification **Manual Update**

Enter text here...

Accept Clarification **Close**

- The user can click **Accept Clarification** button, if the query raised has been answered by the customer. The status should change to Clarification Accepted. on next the task moves to the next logical stage.
- Bank user checks the Clarification and opens the **Documents** Tab. System displays both the new document uploaded and the metadata for deleted document and the deleted document is displayed in a blurred way. User can open the new document, the deleted document cannot be opened. System should also increment the version number of the documents.



ORACLE Import LC Issuance - Scrutiny :: Application No: PK2ILCI000042424

Main

- Availability
- Payment
- Additional Fields
- Additional Details
- Summary

Application Details

Received From Applicant Bank

Priority: Medium

Customer Reference Number

LC Details

Revolving *

Advising Bank

31C - Date Of Issue *
Mar 22, 2019

51A - Applicant Bank

Limits/Collateral Required

39C - Additional Amount Covered

Documents

All

Add Files

Proforma Inv.pdf
Modified : Sundar01, 13-12-2020

Proforma Inv .pdf
Deleted : OBDX User, 13-12-2020

Branch
PK2-FLEXCUBE UNIVERSAL BANK

Process Reference Number
PK2ILCI000042424

Template Name

Product Description

23 - Reference To Pre-Advice

31D - Place Of Expiry *

39A - Percentage Credit Amount Tolerance

Scrutiny

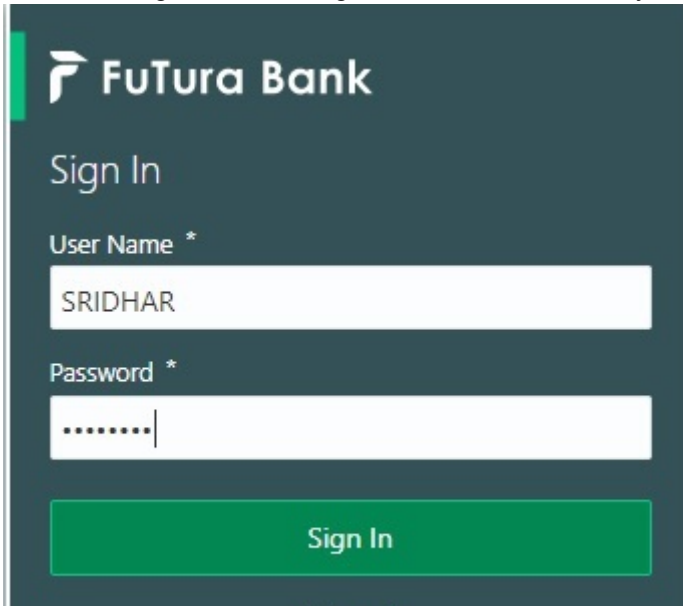
On successful completion of Registration of an Import LC issuance request, the request moves to Scrutiny stage. At this stage the gathered information during Registration are scrutinized.

Non-Online Channel - Import LC Issuance request that were received at the desk will move to Scrutiny stage post successful Registration. The requests will have the details entered during the Registration stage.

Online Channel - Requests that are received via online channels like trade portal, external system and SWIFT are available directly for further processing from Scrutiny stage. The data gets auto populated for all data segments from Application stage to Data Enrichment stage.

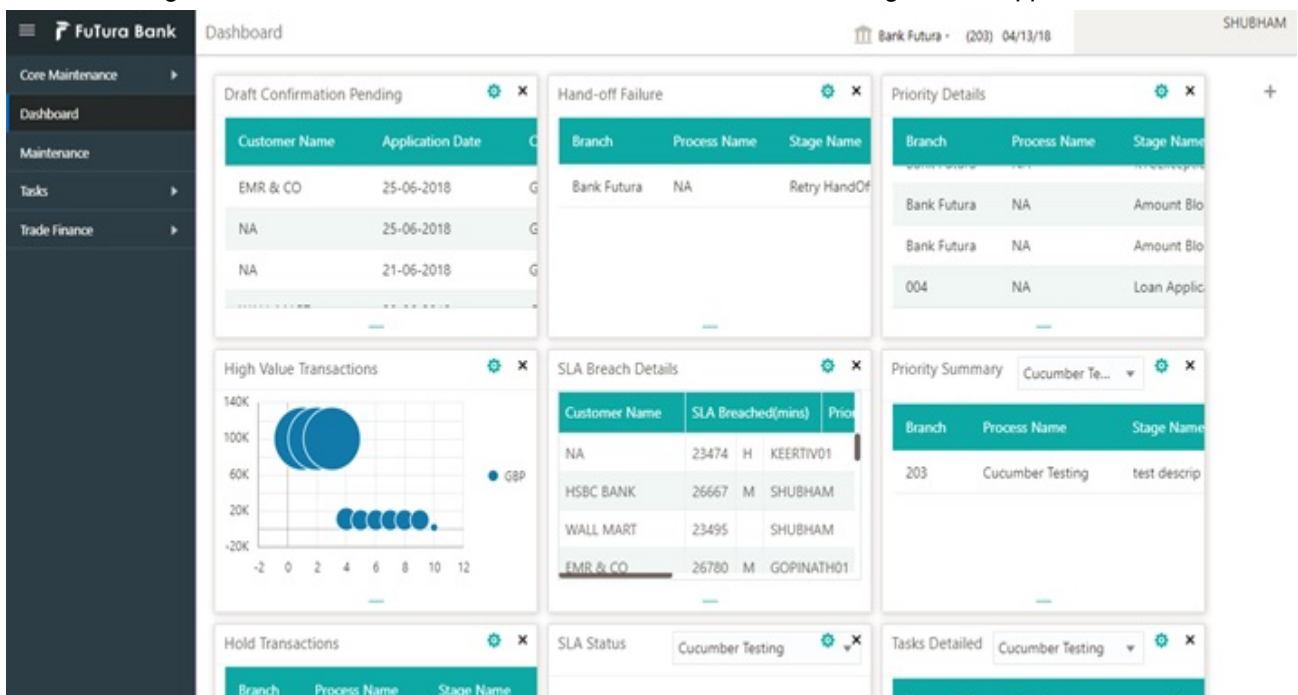
Tasks received via MT798, the task is verified and enriched. The user if required can update the editable fields. The fields that have been changed/updated have to be highlighted by the system and the user can check the incoming message placeholder for the original value. If the User encounters validation error during handling the task, a MT719 (Bank to Corporate message) common group message is created in the workflow and the task is put on 'Hold'. Do the following steps to acquire a task currently at Scrutiny stage:

1. Using the entitled login credentials for Scrutiny stage, login to the OBT FPM application.



The image shows the login interface for FuTura Bank. It features a dark blue header with the bank's logo and name. Below the header, the text 'Sign In' is displayed. There are two input fields: 'User Name *' with the value 'SRIDHAR' and 'Password *' with masked characters. A green 'Sign In' button is located at the bottom of the form.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



The image displays the dashboard of the FuTura Bank application. The dashboard is divided into several sections, each containing a table or chart. The left sidebar shows the navigation menu with options like 'Core Maintenance', 'Dashboard', 'Maintenance', 'Tasks', and 'Trade Finance'. The main content area is titled 'Dashboard' and contains several widgets:

- Draft Confirmation Pending:** A table with columns 'Customer Name', 'Application Date', and 'Status'. It lists three entries for 'EMR & CO' and 'NA'.
- Hand-off Failure:** A table with columns 'Branch', 'Process Name', and 'Stage Name'. It lists one entry for 'Bank Futura'.
- Priority Details:** A table with columns 'Branch', 'Process Name', and 'Stage Name'. It lists three entries for 'Bank Futura'.
- High Value Transactions:** A chart showing transaction values over time. The y-axis ranges from -20K to 140K, and the x-axis ranges from -2 to 12. A blue line represents the data, with a peak around x=4.
- SLA Breach Details:** A table with columns 'Customer Name', 'SLA Breached(mins)', 'Priority', and 'User'. It lists four entries for 'NA', 'HSBC BANK', 'WALL MART', and 'EMR & CO'.
- Priority Summary:** A table with columns 'Branch', 'Process Name', and 'Stage Name'. It lists one entry for '203'.
- Hold Transactions:** A table with columns 'Branch', 'Process Name', and 'Stage Name'. It lists one entry for 'Bank Futura'.
- SLA Status:** A table with columns 'Customer Name', 'SLA Breached(mins)', 'Priority', and 'User'. It lists one entry for 'Cucumber Testing'.
- Tasks Detailed:** A table with columns 'Branch', 'Process Name', and 'Stage Name'. It lists one entry for 'Cucumber Testing'.

3. Click **Tasks> Free Tasks**.

FuTura Bank Free Tasks FBN UK (GS1) Feb 1, 2019 SRIDHAR01 subham@gmail.com

[Refresh](#) [Acquire](#) [Delegate](#) [Reassign](#) [Flow Diagram](#)

Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage	Back Office Ref No.
☒ Acquire & Edit	M	GS1ILCI000005978	GS1	000263	£15,000.00	Import LC Issuance	Scrutiny	GS1ILSN19032BLHK
☐ Acquire & Edit	H	GS1ELCA000005979	GS1	000263	£99,999.19	Export LC Advising	Data Enrichment	GS1ELAC19032BKQ0
☐ Acquire & Edit	H	GS1ELCA000005977	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC19032BKPZ
☐ Acquire & Edit	M	GS1ILCU000005976	GS1	000343	£11,000.00	Import LC Update Drawings	Scrutiny	NA
☐ Acquire & Edit	H	GS1ELCA000005972	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC19032BKPW
☐ Acquire & Edit	H	GS1ILCI000005971	GS1	000263	£99,999.19	Import LC Issuance	Scrutiny	GS1ILSN19032BL46

Page 1 of 1 (1-10 of 10 items) [Previous](#) 1 - 10 of 2524 records [Next](#)

4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.

FuTura Bank Free Tasks FBN UK (GS1) Feb 1, 2019 SRIDHAR01 subham@gmail.com

[Refresh](#) [Acquire](#) [Delegate](#) [Reassign](#) [Flow Diagram](#)

Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage	Back Office Ref No.
☒ Acquire & Edit	M	GS1ILCI000005978	GS1	000263	£15,000.00	Import LC Issuance	Scrutiny	GS1ILSN19032BLHK
☐ Acquire & Edit	H	GS1ELCA000005979	GS1	000263	£99,999.19	Export LC Advising	Data Enrichment	GS1ELAC19032BKQ0
☐ Acquire & Edit	H	GS1ELCA000005977	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC19032BKPZ
☐ Acquire & Edit	M	GS1ILCU000005976	GS1	000343	£11,000.00	Import LC Update Drawings	Scrutiny	NA
☐ Acquire & Edit	H	GS1ELCA000005972	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC19032BKPW
☐ Acquire & Edit	H	GS1ILCI000005971	GS1	000263	£99,999.19	Import LC Issuance	Scrutiny	GS1ILSN19032BL46

Page 1 of 1 (1-10 of 10 items) [Previous](#) 1 - 10 of 2524 records [Next](#)

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to scrutinize the registered task.

FuTura Bank My Tasks FBN UK (GS1) Feb 1, 2019 SRIDHAR01 subham@gmail.com

[Refresh](#) [Release](#) [Flow Diagram](#)

Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage	Back Office Ref No.
☒ Edit	M	GS1ILCI000005978	GS1	000263	£15,000.00	Import LC Issuance	Scrutiny	GS1ILSN19032BLHK
☐ Edit		GS1ELCL000005932	GS1	000262	£100,000.00	Export LC Liquidation	Registration	GS1ELAC19032B9VW
☐ Edit		GS1ELCL000005931	GS1	000262	£20,000.00	Export LC Liquidation	Registration	GS1ELAC190322006
☐ Edit		GS1ELCL000005930	GS1	000262	£100,000.00	Export LC Liquidation	Registration	GS1ELAC19032B9X1
☐ Edit		GS1ELCL000005929	GS1	000263	£100,000.00	Export LC Liquidation	Registration	GS1ELAC19032BDDW
☐ Edit		GS1ELCL000005928	GS1	000263	£100,000.00	Export LC Liquidation	Registration	GS1ELAC19032BDDW

Page 1 of 1 (1-10 of 10 items) [Previous](#) 1 - 10 of 14 records [Next](#)

The Scrutiny stage has five sections as follows:

- Main Details
- Availability

- Payment
- Additional Details
- Summary

Let's look at the details for Scrutiny stage. User can enter/update the following fields. User cannot edit the fields that are already having value from Registration/online channels.

Audit - This button provides information about user initiated the transaction, initiated date, stage wise detail etc..

Task Audit Trail Details

Application No.
GS1ILCI000005978

Branch Code
GS1

Initiated Date
2019-11-28

Initiated By
SRIDHAR01

Process Name
Import LC Issuance

S.No	Stage Name	Pickup Time	Completed Time	Completed By	Outcome
1	Registration	2019-11-28 10:50:06	2019-11-28 11:09:56	SRIDHAR01	PROCEED

Close

Common Group Message

The user can send MT799 and MT999 messages from within the task, by clicking the **Common Group Messages** button.

Common Group Messages

Common Group Message

Customer *

001184

UNICREDIT BA

Date

May 5, 2021

Reference Number *

1042269588928276

SWIFT Message Type *

MT799

Transaction Reference Number *

54454

Related Reference Number *

587879

Narrative *

Common Group Message 1

Save & Close

Close

Field	Description	Sample Values
Customer	Click to select the customer (Bank to which the message has to be sent) from the look up. Only those banks which are listed as parties in the back office for this contract should be available for selection.  Note In case the selected Bank is not RMA Compliant, the system displays error message "Selected Bank is not RMA Complaint, System will send MT999 message"	
Reference Number	Read only field. This field displays the Reference Number generated automatically by the system.	
SWIFT Message Type	Select the SWIFT message type from the drop-down. Possible values are: • MT799 • MT999 • MT499  Note In case of non RMA bank customer, only MT999 messages should be available in drop down.	
Transaction Reference Number	Specify the transaction reference number.	
Related Reference Number	Specify the related reference number.	
Narrative	Specify the Narrative for the transaction. Maximum of 10,000 characters are allowed. During handoff system to split the narrative field content to a maximum 1750 character per back office 79 Narrative tag. Maximum of 5 tags will be allowed.	

Main Details

Main details section has three sub section as follows:

- Application Details
- LC Details

Application Details

All fields displayed under Application details section are read only except for the **Priority** and **Customer Reference Number**. Refer to [Application Details](#) for more information of the fields.

ORACLE

My Tasks

(DEFAULT ENTITY)

Oracle Banking Trade Finan...
May 5, 2021

ZARTAB02
subham@gmail.com

Import LC Issuance
Scrutiny :: Application No:- PK1ILCI000010882

DocumentsRemarksOverridesCustomer InstructionCommon Group MessagesIncoming MessageSignatures

Main

Availability

Payment

Additional Fields

Additional Details

Summary

Main

Application Details

Received From - Customer ID
000325

Received From - Customer Name
NATIONAL FREIGHT CORP

Branch
PK1-Oracle Banking Trade Finan...

Submission Mode
Desk

32B - Currency Code, Amount
USD \$120.00

Process Reference Number
PK1ILCI000010882

Application Date
May 5, 2021

Copy LC

Template Name

Customer Reference Number

Priority
Medium

LC Details

Revolving
31C - Date of Issue
May 5, 2021

51A - Applicant Bank

Limits/Collateral Required

Back to Back LC

LC Type
40A - Form of Documentary Credit
IRREVOCABLE

40E - Applicable Rules
UCP LATEST VERSION

Applicant
000325 NATIONAL FREIGHT CORP

39C - Additional Amount Covered

Auto Close

Product Code

20 - Documentary Credit Number

31D - Date of Expiry

Beneficiary

Accountee

Closure Date

Product Description

23 - Reference To Pre-Advice

31D - Place of Expiry

39A - Percentage Credit Amount Tolerance

Amount In Local Currency
GBP

RejectReferHoldCancelSave & CloseBackNext

LC Details

The fields listed under this section are same as the fields listed under the [LC Details](#) section in [Registration](#). Refer to [LC Details](#) for more information of the fields. During Registration, if user has not captured input, then user can capture the details in this section.

LC Details

Revolving
31C - Date of Issue
May 5, 2021

51A - Applicant Bank

Limits/Collateral Required

Back to Back LC

LC Type
40A - Form of Documentary Credit
IRREVOCABLE

40E - Applicable Rules
UCP LATEST VERSION

Applicant
000325 NATIONAL FREIGHT CORP

39C - Additional Amount Covered

Auto Close

Product Code

20 - Documentary Credit Number

31D - Date of Expiry

Beneficiary

Accountee

Closure Date

Product Description

23 - Reference To Pre-Advice

31D - Place of Expiry

39A - Percentage Credit Amount Tolerance

Amount In Local Currency
GBP

RejectReferHoldCancelSave & CloseBackNext

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents button to View/Upload the required documents. Application will display the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.	
Remarks	Click the Remarks button to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view overrides, if any.	
Customer Instructions	Click to view/ input the following • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
Request Clarification	User should be able to specify the clarification details for requests received online.	
Incoming Message	This button displays the multiple messages (MT760 + up to 7 MT761). In case of MT798, the User can click and view the MT798 message(770,700/701).	

Field	Description	Sample Values
Signature	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is required, system should display all the signatures.	
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Cancel	Cancel the LC Issuance Scrutiny stage inputs and the task gets landed in My Task menu.	
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process. On reject, a reject notification gets generated to the applicant.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

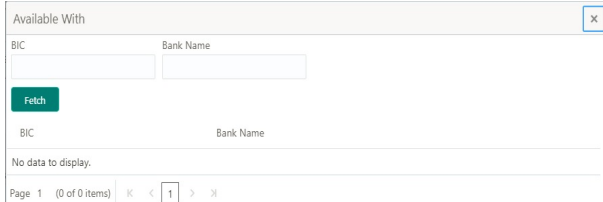
Availability

User must Input/Update Availability, Shipment and Goods details of an Import LC request for the different fields under the respective data segments.

Availability Details

Provide the Availability Details based on the description in the following table:

Field	Description	Sample Values
Available With	This field identifies the bank with which the credit is available. Online Channel - Read only Non Online Channel - User must capture the bank details or any free text. - If the LC is restricted to any particular bank, search the bank with SWIFT code (BIC) or Bank Name. Available With BIC Bank Name Fetch BIC Bank Name No data to display. Page 1 (0 of 0 items) < 1 > On selection of the record if SWIFT code is available, then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address gets defaulted. - If the LC is not restricted to any bank, provide free text - (YOURSELVES, WITH ANY BANK etc.).	

Field	Description	Sample Values
Available By	Online Channel – Read-only Non Online Channel – Choose one of the following values from drop down. • BY ACCEPTANCE • BY DEF PAYMENT • BY MIXED PAYMENT • BY NEGOTIATION • BY PAYMENT Validation: 1) If By Mixed Payment option is selected, there must be a value in tag 42M- Mixed payment 2) If By deferred payment is selected, there must be a value in tag 42P- Deferred payment 3) If By payment is selected, payment at sight is applicable. It is applicable for Sight Type of product only.	
Drafts At	This field specifies the tenor of drafts to be drawn under the documentary credit. Provide the draft details.	
Drawee	This field will have value only if 'Drafts at' field has values. Select the Drawee bank (Advising bank or Confirming bank). • Search the bank with SWIFT code (BIC) or Bank Name.  On selection of the record if SWIFT code is available, then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address to be defaulted.  Note This field is mandatory if value is provided at Drafts At field.	
Tenor	Capture the tenor details. If Available by is Negotiation/Acceptance/Payment and can be modified if required.	
Payment Details	This field is applicable if, Available By filed has Mixed Payment or Deferred Payment.	

Shipment Details

ORACLE My Tasks (300) Jan 1, 2016 JEEVA02 subham@gmail.com

Import LC Issuance - Scrutiny :: Application No: 300ILCI000040038

Clarification Details Overrides Screen (2 / 6)

Main Availability Payment Additional Fields Additional Details Summary

Availability

Availability Details

41a-Available with * ANY BANK

41a-Available By * BY PAYMENT

42C-Drafts At ALL BANKS

42a-Drawee

42 P/M - Payment Details

43P-Partial Shipments ALLOWED

43T-Transshipment ALLOWED

44A-Place of Taking in Charge ny

44E-Port of Loading WASHINGTON

44F-Port of Discharge ny

44B-Place of Final Destination LONDON

44C-Latest Date of Shipment Mar 4, 2019

44D-Shipment Period

45A Description of Goods and/or Services

INCO Terms * CIF

INCO Terms Description Cost, Insurance and Freight(named des

Goods Code	Goods Type	Goods Description	No of Units	Price per Unit	Total Amount
☐ COTTON	Allowed Freely	POLO T-Shirts as per purchase order c			

Audit Request Clarification Reject Refer Hold Cancel Save & Close Back Next

Provide the Shipment Details based on the description in the following table:

Field	Description	Sample Values
Partial Shipments	This field specifies whether or not partial shipments are allowed under the documentary credit. Online Channel – Read only Non Online Channel - Select the appropriate value from the drop down. Available values are: - ALLOWED - CONDITIONAL - NOT ALLOWED	
Transshipment	This field specifies whether or not transshipment is allowed under the documentary credit. Online Channel – Read only Non Online Channel - Select the appropriate value from the drop down. Available values are: - ALLOWED - CONDITIONAL - NOT ALLOWED	

Field	Description	Sample Values
Place Of Taking In Charge	This field specifies the place of taking in charge (in case of a multi-modal transport document), the place of receipt (in case of a road, rail or inland waterway transport document or a courier or expedited delivery service document), the place of dispatch or the place of shipment to be indicated on the transport document. Online Channel – Read only Non Online Channel - Provide the details of place of taking in charge.  Note This field is alternate to Port Of Loading. Any of these fields must have value and if both the fields has values, application will display an error message.	
Port Of Loading	This field specifies the Port/Airport of Loading to be indicated on the transport document. Online Channel – Read only Non Online Channel - Provide the details of Port/ Airport of Loading.  Note This field is alternate to Place Of Taking In Charge. Any of these fields must have value and if both the fields has values, application will display an error message.	
Port Of Discharge	This field specifies the port of discharge or airport of destination to be indicated on the transport document. Online Channel – Read only Non Online Channel - Provide the details of Port/ Airport of Discharge.  Note This field is alternate to Place Of Final Destination. Any of these fields must have value and if both the fields has values, application will display an error message.	

Field	Description	Sample Values
Place Of Final Destination	This field specifies the final destination or place of delivery to be indicated on the transport document. Online Channel – Read only Non Online Channel - Provide the details of Place Of Final Destination.  Note This field is alternate to Port Of Discharge. Any of these fields must have value and if both the fields has values, application will display an error message.	
Latest Date Of Shipment	Provide the latest date for loading on board/ dispatch/taking in charge.  Note This field is alternate to Shipment Period. Latest date of shipment or shipment period must have value and if both the fields has values, application will display an error message.	
Shipment Period	Online Channel – Read- only Non Online Channel - Provide the details of Shipment.  Note This field is alternate to Latest Date Of Shipment. Latest date of shipment or shipment period must have value and if both the fields has values, application will display an error message.	

Description Of Goods And Or Services

ORACLE My Tasks (PK2) Mar 22, 2019 JEEVA02 subham@gmail.com

Import LC Issuance - DataEnrichment :: Application No: PK2ILCI000043918

Clarification Details | Overrides | Screen (2 / 8)

Main
Availability
 Payment
 Documents and Conditions
 Additional Fields
 Advices
 Additional Details
 Summary

Availability

41a-Available with *
 PPBKGGB21456

41a-Available By *
 BY PAYMENT

42C-Drafts At

42a-Drawee

42 P/M - Payment Details

43P-Partial Shipments
 ALLOWED

43T-Transshipment
 ALLOWED

44A-Place of Taking in Charge
 London

44E-Port of Loading
 London

44F-Port of Discharge
 Chennai

44B-Place of Final Destination

44C-Latest Date of Shipment
 Mar 15, 2021

44D-Shipment Period

45A Description of Goods and/or Services

INCO Terms *
 CIF

INCO Terms Description
 Cost, Insurance and Freight (named de

Goods Code	Goods Type	Goods Description	No of Units	Price per Unit	Total Amount
☐ COTTON	Allowed Freely	COTTON			

Audit

Request Clarification | Reject | Refer | Hold | Cancel | Save & Close | Back | Next

This field contains a description of the goods and/or services. Provide the Shipment Details based on the description in the following table:

Field	Description	Sample Values
INCO Terms	Online Channel - Read only. Non Online Channel - Select the appropriate INCO terms.	
INCO Term Description	The description of the INCO Term.	
+ Icon	Click + icon to add goods details.	
- Icon	Click - icon to remove goods details.	
Goods Code	Click look up icon to select the goods code. Once you select goods code, value will populate in Goods Type and Goods Description.	
Goods Type	The goods type is auto populated depending on selected goods code.	
Goods Description	The goods description is auto populated depending on selected goods code.	
No of Units	Enter the number of units being imported or exported.	
Price per Unit	Enter the value for price per unit.	
Total Amount	System to calculate the total price In case of online request, the system should populate the total amount from incoming request. System should validate that the total amount is equal to the value of the transaction (LC/ Collection).	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to Upload the required documents. Application will display the mandatory and optional documents.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view overrides, if any.	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
Request Clarification	User should be able to specify the clarification details for requests received online.	
Signature	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is required, system should display all the signatures.	
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Cancel	Cancel the scrutiny stage inputs and the task gets landed in My Task menu.	

Field	Description	Sample Values
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process. On reject, a reject notification gets generated to the applicant.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

FuTura Bank

My Tasks

SRIDHAROJ
subham@gmail.com

Feb 1, 2019

DocumentsRemarksAudit

Screen (3 / 6)

Main

Availability

Payment

Additional Fields

Additional Details

Summary

Payment

Payment Details

49G - Spl Paymt Condn - Beneficiary

49H - Spl Paymt Condn - Rec Bank

58A - Requested Confirmation Party

58A - Requested Confirmation Party

72 - Instructions to P/A/N Bank

72-Sender to Receiver Information

48 - Period for Presentation

53A - Reimbursing Bank

71D - Charges

49 - Confirmation Instructions

57A-Advise Through Bank

Audit

RejectHoldCancelSave & CloseBackNext

Field	Description	Sample Values
Spl Paymt Condn - Beneficiary	Online and Non Online Channels – If any special payment condition has to be provided to beneficiary, the details for the same must be captured in this field.	
Spl Paymt Condn - Rec Bank	Online and Non-online channels –If any special payment condition has to be provided to receiving bank, the details for the same must be captured in this field. This field specifies special payment conditions applicable to the receiving bank without disclosure to the beneficiary, for example, post-financing request/conditions for receiving bank only.	
Period for Presentation	Online Channel – Read only. Non Online Channel – If the period of presentation is based on any event other than shipment, then you can capture the event name in text along with the number of days in number.	

Field	Description	Sample Values
Confirmation Instructions	Online Channel – Read only. Non Online Channel - Select the confirmation instruction for the LC from the available LOV values – CONFIRM, MAY ADD, WITHOUT. Applicable only if field 49 - confirmation instruction is 'confirm' or 'may add'. You can search through LOV, Party type with banks should only be displayed in LOV. The system must display the following: a) SWIFT code (if available), b) Name and address of the bank On selection of the record, if SWIFT code is available then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address to be defaulted.	
Requested Confirmation Party	Online and Non-Online Channels – Provide requested confirmation party details. If the Requested Confirmation Party has the value as Others then appropriate value must be selected from the LOV. Note This field is applicable if the Confirmation Instructions is Confirm or May Add.	

Field	Description	Sample Values
Reimbursing Bank	If reimbursing bank is applicable user must update the field. Online Channel - Update the details received. Non Online Channel - Search through LOV. Party type with banks will be displayed in LOV. • SWIFT code (if available), • Name and address of the bank On selection of the record if SWIFT code is available, then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address to be defaulted.  Note In case the selected Bank is not RMA Compliant, the system prompts the user to use a different advising bank or use non SWIFT Media to transmit the LC and displays error message "RMA arrangement not available, please change the bank or use MAIL Medium".  Note If the party is blacklisted the system displays a warning message.	
Advise Through Bank	Online Channel – User can update the details received. Non-Online Channel - Search through LOV. Party type with banks must be displayed in LOV. • SWIFT code (if available) • Name and address of the bank On selection of the record if SWIFT code is available, then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address to be defaulted.	
Instructions to P/A/N Bank	Online Channel- User can update details received. Non Online Channel – Provide the details in this field.	
Sender to Receiver Information	Online Channel – User can update details received. Non Online Channel – Provide details (FFT).	

Field	Description	Sample Values
Charges	Online Channel – User can update details received. Non Online Channel – Provide details (FFT).	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to Upload the required documents. Application will display the mandatory and optional documents.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view overrides, if any.	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
Request Clarification	User should be able to specify the clarification details for requests received online.	
Signature	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is required, system should display all the signatures.	
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	

Field	Description	Sample Values
Cancel	Cancel the Scrutiny stage inputs and the task gets landed in My Task menu.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a reject reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a reject code and give a reject description. This reject reason will be available in the remarks window throughout the process. On reject, a reject notification gets generated to the applicant.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Additional Fields

Banks can configure these additional fields during implementation.

Import LC Issuance
Scrutiny :: Application No:- PK1ILCI000071937

Documents Remarks Overrides Customer Instruction Common Group Messages Incoming Message Signatures

Main
Availability
Payment
Additional Fields
Additional Details
Summary

Additional Fields

Additional Fields

UDF
Test

Audit

Reject Refer Hold Cancel Save & Close Back Next

Screen (4 / 6)

Additional Details

Import LC Issuance - Scrutiny :: Application No: PK2ILCI000002450

Screen (5 / 6)

Main
Availability
Payment
Additional Fields
Additional Details
Summary

Additional Details

Limits and Collaterals
Limit Currency :
Limit Contribution :
Limit Status :
Collateral Currency :
Collateral :
Contribution :
Collateral Status :

Revolving Details
Revolving : **No**
Revolving In :
Revolving Frequency :

Commission, Charges an...
Charge :
Commission :
Tax :
Block Status : **Not Initiated**

Insurance Details
Company :
Insured Amount :
Expiry Date :

FX Linkage
FX Reference Number :
Contract Currency :
Contract Amount :

Audit
Reject Refer Hold Cancel Save & Close Back Next

Revolving Details

Revolving

Revolving
No

Revolving In

Revolving Frequency

Revolve Units

Next Reinstatement Date
mm/dd/yy

Cumulative

Automatic Reinstatement

Provide the Revolving Details based on the description in the following table:

Field	Description	Sample Values
Revolving	Read only field. This field displays the revolving details of the LC.	
Revolving In	Select the mode of revolving in this field.The LC can revolve with Time or Units.	
Revolving Frequency	In case the LC revolves with time, then this field should be updated. This field captures the frequency in days and months by which the LC revolves.	
Revolving Units	You can capture the units by which the LC revolves.	

Field	Description	Sample Values
Next Reinstatement Date	This field defaults the date of next reinstatement for the LC based on the revolving frequency selected.	
Cumulative	This field is a toggle to indicate if the LC value has to be cumulative or not on reinstatement.	
Automatic Reinstatement	This field enables you to have automatic reinstatement on the reinstatement day without manual intervention.	

Limits & Collateral

On Approval, system should not release the Earmarking against each limit line and system should handoff the “Limit Earmark Reference Number” to the back office. On successful handoff, back office will make use of these “Limit Earmark Reference Number” to release the Limit Earmark done in the mid office (OBTFFPM) and should Earmark the limit from the Back office.

In case multiple Lines are applicable, Limit Earmark Reference for all lines to be passed to the back office.

Provide the Limit Details based on the description in the following table:

Limits and Collaterals

Limit Details

	Customer ID	Line ID	Contribution %	Contribution Currency	Contribution Amount	Limit Check Response	Response Message	Edit	Delete
☐	000327		100	USD	\$100.00			000327	

Cash Collateral Details

Collateral Percentage *
67.0

Collateral Currency and amount
USD
\$67.00

Exchange Rate
1

Sequence Number	Settlement Account Currency	Settlement Account	Exchange Rate	Collateral %	Contribution Amount	Contribution Amount in Account Currency	Account Balance Check Response	Response
1	GBP	PK1000327018	1.3	100	\$67.00	0	VS	The arrangement has been approved.

Deposit Linkage Details

	Deposit Account	Deposit Currency	Deposit Maturity Date	Transaction Currency	Deposit Available In Transaction Currency	Linkage Amount(Transaction Currency)	Edit	Delete
☐	PK2CDP1210860501	GBP	2022-03-27	GBP	199100	\$990.00	PK2CDP1210860501	

Page 1 of 1 (1 of 1 items)

Limit Details

Customer Id

001044

Line ID *

001044

Contribution % *

100.0

Limits Description

Contribution Currency

GBP

Contribution Amount *

£2,200.00

Limit Currency

GBP

Limit Available Amount

£999,528,418,464.36

Limit Check Response

Available

Response Message

The Earmark can be performed as the f

Expiry Date

Verify

Save & Close

Close

Field	Description	Sample Values
Plus Icon 	Click plus icon to add new Limit Details.	

Limit Details

Click + plus icon to add new limit details.

Below fields are displayed on the Limit Details pop-up screen, if the user clicks plus icon.

Customer ID	Applicant's/Applicant Bank customer ID will get defaulted.	
Line ID	User can choose from the various lines available and mapped under the customer id gets listed in the drop down. LINE ID-DESCRIPTION will be available for selection along with Line ID. When you click on 'verify', the system will return value if the limit check was successful or Limit not Available. If limit check fails, the outstanding limit after the transaction value will be shown in the limit outstanding amount.  Note User can also select expired Line ID from the lookup and on clicking the verify button, system should default "The Earmarking cannot be performed as the Line ID is Expired" in the "Response Message" field.	

Field	Description	Sample Values
Contribution%	System will default this to 100%. User can modify, if contribution is more than 100%. System will display an alert message, if modified. Once contribution % is provided, system will default the amount. System to validate that if Limit Contribution% plus Collateral% is equal to 100. If the total percentage is not equal to 100 application will display an alert message.	
Limits Description	This field displays the limits description.	
Contribution Currency	The LC currency will be defaulted in this field.	
Contribution Amount	Contribution amount will default based on the contribution %.	
Limit Currency	Limit Currency will be defaulted in this field.	
Limit Available Amount	This field will display the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount.	
Limit Check Response	Response can be 'Success' or 'Limit not Available' based on the limit service call response.	
Response Message	Detailed Response message.	
Expiry Date	This field displays the date up to which the Line is valid	

Collateral Details

Total Collateral Amount *

\$67.00

Sequence Number

2.0

Collateral Contribution Amount *

\$67.00

Settlement Account Currency

GBP

Contribution Amount in Account Currency

£0.00

Response

VS

Verify

Collateral Amount to be Collected *

\$0.00

Collateral Split % *

100.0

▼ ▲

Settlement Account *

PK1000327018

🔍

Exchange Rate

1.3

▼ ▲

Account Available Amount

£99,999,393,343.91

Response Message

The amount block can be performed as:

✓ Save & Close

✕ Cancel

Field	Description	Sample Values
Cash Collateral Details		
Collateral Percentage	Specify the percentage of collateral to be linked to this transaction.	
Collateral Currency and amount	System populates the contract currency as collateral currency by default. User can modify the collateral Currency and amount.	
Exchange Rate	System populates the exchange rate maintained. User can modify the collateral Currency and amount. System validates for the Override Limit and the Stop limit if defaulted exchange rate is modified.	
Click + plus icon to add new collateral details.		
Below fields are displayed on the Collateral Details pop-up screen, if the user clicks plus icon.		
Total Collateral Amount	Read only field. This field displays the total collateral amount provided by the user.	
Collateral Amount to be Collected	Read only field. This field displays the collateral amount yet to be collected as part of the collateral split.	
Sequence Number	Read only field. The sequence number is auto populated with the value, generated by the system.	

Field	Description	Sample Values
Collateral Split %	Specify the collateral split% to be collected against the selected settlement account.	
Collateral Contribution Amount	Collateral contribution amount will get defaulted in this field. The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.	
Settlement Account	Select the settlement account for the collateral.	
Settlement Account Currency	Settlement Account Currency will be auto-populated based on the Settlement Account selection.	
Exchange Rate	Read only field. This field displays the exchange rate, if the settlement account currency is different from the collateral currency.	
Contribution Amount in Account Currency	Read only field. This field displays the contribution amount in the settlement account currency as defaulted by the system.	
Account Available Amount	Read only field. Account available amount will be auto-populated based on the Settlement Account selection.	
Response	Response can be 'Success' or 'Amount not Available'. System populates the response on clicking the Verify button.	
Response Message	Detailed Response message. System populates the response on clicking the Verify button.	
Verify	Click to verify the account balance of the Settlement Account.	
Save & Close	Click to save and close the record.	
Cancel	Click to cancel the entry.	

Below fields appear in the **Cash Collateral Details** grid along with the above fields.

Field	Description	Sample Values
Collateral %	User must enter the percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message. System defaults the collateral % maintained for the customer into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display an override message "Defaulted Collateral Percentage modified".	
Contribution Amount	This field displays the collateral contribution amount. The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified."	
Account Balance Check Response	This field displays the account balance check response.	
Delete Icon 	Click minus icon to remove any existing Collateral Details.	
Edit Link	Click edit link to edit any existing Collateral Details.	

Commission, Charges and Taxes Details

After payment, click on **Default Charges** button to the default commission, charges and tax if any will get populated.

If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from Back End system.

Provide the Charge Details based on the description provided in the following table:

Commission,Charges and Taxes ×

Recalculate

Redefault

Commission Details

Event

Event Description

Component	Rate	Modified Rate	Currency	Amount	Modified	Defer	Waive	Charge Party	Settlement Account
No data to display.									
Page 1 (0 of 0 items) < 1 > >>									

Charge Details

Component

Tag currency

Tag Amount

Currency

Amount

Modified

Billing

Defer

Waive

Charge Party

Settlement Account

No data to display.										
Page 1 (0 of 0 items) < 1 > >>										

Tax Details

Component

Type

Value Date

Currency

Amount

Billing

Defer

Settlement Account

--	--	--	--	--	--	--	--

Save & Close

Cancel

Commission Details

Provide the Commission Details based on the description provided in the following table:

Field	Description	Sample Values
Event	Read only field. This field displays the event name.	
Event Description	Read only field. This field displays the description of the event.	
Component	Select the commission component	
Rate	Defaults from product. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.	
Modified Rate	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Currency	Defaults the currency in which the commission needs to be collected	

Field	Description	Sample Values
Amount	An amount that is maintained under the product code defaults in this field. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate, but not the commission amount directly. The amount gets modified based on the rate changed and the new amount is calculated in back office based on the new rate and is populated in OBTFPM. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.	
Modified Amount	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step.	
Waive	Select the check box to waive charges/ commission. Based on the customer maintenance, the charges/commission can be marked for Billing or Defer. If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary	
Settlement Account	Details of the Settlement Account.	

Charge Details

Field	Description	Sample Values
Component	Charge Component type.	
Tag Currency	Defaults the tag currency in which the charges have to be collected.	
Tag Amount	Defaults the tag amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Modified	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.	
Billing	If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM. The user can not select/de-select the check box if it is de-selected by default. This field is disabled, if 'Defer' toggle is enabled.	
Defer	If charges have to be deferred and collected at any future step, this check box has to be selected. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM. The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Waive	If charges have to be waived, this check box has to be selected. Based on the customer maintenance, the charges should be marked for Billing or for Defer. This field is disabled, if 'Defer' toggle is enabled.	
Charge Party	Charge party will be applicant by default. You can change the value to beneficiary	

Field	Description	Sample Values
Settlement Account	Details of the settlement account.	

Tax Details

The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

Provide the Tax Details based on the information in the following table:

Field	Description	Sample Values
Component	Tax Component type	
Type	Type of tax Component.	
Value Date	This field displays the value date of tax component.	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Billing	If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. This field is disabled, if 'Defer' toggle is enabled.	
Defer	If taxes have to be deferred and collected at any future step, this option has to be enabled. The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Settlement Account	Details of the settlement account.	

Deposit Linkage Details

In this section which the deposit linkage details should be captured.

Deposit Linkage Details

☐	Deposit Account	Deposit Currency	Deposit Maturity Date	Transaction Currency	Deposit Available In Transaction Currency	Linkage Amount(Transaction Currency)	Edit	Delete
No data to display.								
Page	1	(0 of 0 items)	< 1 >					

Deposit Linkage Details

Deposit Account *

PK20010440017



Deposit Branch

PK2

Deposit Currency

GBP

Deposit Available Amount

Deposit Maturity Date

Jan 1, 2016



Transaction Currency

USD

Exchange Rate

Deposit Available in Transaction Currency

Linkage Amount (Transaction Currency) *

\$220,000.00

✓ Save & Close

✗ Cancel

Field	Description	Sample Values
Deposit Account	All the Deposits of the customer should be listed in the LOV search. User should be able to select the deposit for linkage.	
Deposit Branch	Branch will be auto populated based on the Deposit Account selection.	
Deposit Currency	The currency will get defaulted in this field.	
Deposit Available Amount	Amount will be auto-populated based on the Deposit Account selection.	
Deposit Maturity Date	Maturity Date of Deposit to be displayed.	
Transaction Currency	The currency will get defaulted in this field from the underlying task.	
Exchange Rate	Latest Exchange Rate for deposit linkage should be displayed. This will be picked up from the exchange rate maintenance from the common core.	
Deposit Available in Transaction Currency	Deposit amount available should be displayed after exchange rate conversion, if applicable.	

Field	Description	Sample Values
Linkage Amount (Transaction Currency):	System to default the transaction amount user can change the value.	

Revolving Details

Revolving

Revolving
No

Revolving In
Cummulative

Revolving Frequency
Automatic Reinstatement

Revolve Units

Next Reinstatement Date
mm/dd/yy

☒ Save & Close
 ☐ Cancel

Provide the Revolving Details based on the description in the following table:

Field	Description	Sample Values
Revolving	Select if the LC is revolving or not using the drop down.	
Revolving In	Select the mode of revolving in this field.The LC can revolve with Time or Units.	
Revolving Frequency	In case the LC revolves with time, then this field should be updated. This field captures the frequency in days and months by which the LC revolves.	
Revolving Units	You can capture the units by which the LC revolves.	
Next Reinstatement Date	This field defaults the date of next reinstatement for the LC based on the revolving frequency selected.	
Cummulative	This field is a toggle to indicate if the LC value has to be cumulative or not on reinstatement.	
Automatic Reinstatement	This field enables you to have automatic reinstatement on the reinstatement day without manual intervention.	

Insurance Details

Insurance Details ×

Insurance Company Details

Code

Company Name

Company Address

Policy Number

Open Policy

☐

Cover Date

Expiry Date

Insurance Amount

Insurance Amount

Save & Close

Cancel

Provide the Insurance details based on the description in the following table:

Field	Description	Sample Values
Company Details		
Company Code	Select the Company Code from the LOV.	
Company Name	Read only field. Insurance company details will be displayed as per the selected Company Code from the LOV.	
Company Address	Read only field. Insurance company address will be displayed as per the selected Insurance Company Code from the LOV.	
Policy Number	Provide the policy number.	
Open Policy	If enabled, this field denotes whether the policy is an open policy.	
Cover Date	This field displays the date up to which the policy is covered.	
Expiry Date	This field displays the expiry date of the policy.	
Insurance Amount	The insurance policy amount.	
Utilized Amount	The utilized amount.	

FX Linkage

This section enables the user to link the existing FX contract(s) to the LC transactions. User can link multiple forward FX contracts.

FX contract linkage with the Bill booking can happen only for immediate liquidation of sight payment or for Usance. For manual sight payment, the user needs to link the FX contract on the date of liquidation of the Bill.

FX Linkage

FX Reference Number	Bought Currency	SOLD Currency	Available Contract Amount	Rate	Linked Amount	Current Utilized Amount	Total Utilized Amount	FX Expiry Date	Action
PK2FXF1200761010			US\$0.00	0	US\$0.00				

Page 1 of 1 (1 of 1 items)

Average FX Rate
0

Save & Close Cancel

FX Linkage

FX Reference Number *
PK2FXF1200762005

Currency
GBP

Contract Amount
GBP £1,000.00

Available Contract Amount
GBP £1,000.00

Linkage Amount *
GBP £1,000.00

Rate
1.33

Amount in Contract Currency
1000

FX Expiry Date
Jun 28, 2020

FX Delivery Period From

FX Delivery Period To

Save & Close Close

Provide the FX linkage detail based on the description in the following table:

Field	Description	Sample Values
FX Reference Number	Select the FX contract reference number from the LOV. On select and save and close, system defaults the available amount, bot currency, sold currency and rate. Forward FX Linkage available for selection at bill would be as follows, - Counterparty of the FX contract should be the counterparty of the Bill contract. - Active Forward FX transactions authorized not marked for auto liquidation. Bill contract currency should be BOT currency of the FX transaction in case of an export Bill or the SOLD currency in case of an Import Bill.	

Field	Description	Sample Values
Bought Currency	This field displays the currency from the linked FX contract.	
Sold Currency	This field displays the currency from the linked FX contract.	
Available ContractAmount	Available amount will be FX contract amount minus the linked amount. Available amount for linkage should be greater than Zero.	
Rate	This field displays the rate at which the contract is booked.	
Linked Amount	Sum of Linked amount will not be greater than LC contract amount. Linked amount will not be greater than the available amount for linkage.	
Current Utilized amount	This field displays the liquidated /purchased / discounted /negotiated amount of BC contract. It cannot go beyond the linked FX amount.	
Total Utilized amount	This field displays the total amount utilized against the corresponding linked FX. On query, both Utilized and Total Utilized amount holds the amount of latest version.	
Amount in Contract Currency	This field displays the amount in contract currency converted from FX currency.	
FX Expiry Date	This field displays the expiry date from the linked FX contract.	
FX Delivery Period - From	This field displays the date from which the contract is valid for utilization.	
FX Delivery Period - To	This field displays the date to which the contract is valid for utilization.	
Action	Click the Edit icon to modify the FX details. Click the Delete icon to delete the FX details.	
Average FX Rate	Multiple forward FX contract could be linked, and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. This will be populated in the Average FX Rate.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to Upload the required documents. Application will display the mandatory and optional documents.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view overrides, if any.	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
Request Clarification	User should be able to specify the clarification details for requests received online.	
Signature	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is required, system should display all the signatures.	
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Scrutiny stage inputs and the task gets landed in My Task menu.	

Field	Description	Sample Values
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process. On reject, a reject notification gets generated to the applicant.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Summary

User can review the summary of details updated in Scrutiny Import LC Issuance request.

Log in to Oracle Banking Trade Finance Process Management (OBTfPM) system to see the summary tiles. The tiles must display a list of important fields with values. User can drill down from summary Tiles into respective data segments.

Import LC Issuance - Scrutiny :: Application No: PK2ILCI000062735

Screen (6 / 6)

Summary			
Main Form of LC : IRREVOCABLE Submission Mode : Desk Date of Issue : 2021-05-05	Availability Available With : Available By : Port of Loading : Port of Discharge :	Payment Period of Present. : Confirmation Instr. : CONFIRM	Additional Fields Click here to view Additional fields
Revolving Details Revolving : NO Revolving In : Revolving Frequency :	Limits and Collaterals Limit Currency : EUR Limit Contribution : 2200 Limit Status : Not Verified Collateral Currency : GBP Collateral Contr. : 176 Collateral Status : Not Verified	Commission, Charges and Taxes Charge : Commission : Tax : Block Status : Not Initia	Insurance Details Company : Insured Amount : Expiry Date :
FX Linkage Reference Number : Contract Amount : Contract Currency :			

Audit

Reject Refer Hold Cancel Save & Close Back Next Submit

Tiles Displayed in Summary

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required.
- Availability - User can view and modify availability and shipment details, if required.
- Payment - User can view and modify all details related to payments, if required.
- Additional Fields - User can view and modify the details of additional fields, if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Commission and Charges and Taxes- User can view and modify commission, charge and tax details, if required.
- Revolving Details - User can view and modify revolving details on revolving LC, if applicable.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to Upload the required documents. Application will display the mandatory and optional documents.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view overrides, if any.	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
Request Clarification	User should be able to specify the clarification details for requests received online.	
Signature	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is required, system should display all the signatures.	
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	

Field	Description	Sample Values
Submit	Task will get moved to next logical stage of Import LC Issuance. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Scrutiny stage inputs and the task gets landed in My Task menu.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process. On reject, a reject notification gets generated to the applicant.	

Data Enrichment

As part of Data Enrichment, user can enter/update basic details of the incoming request.

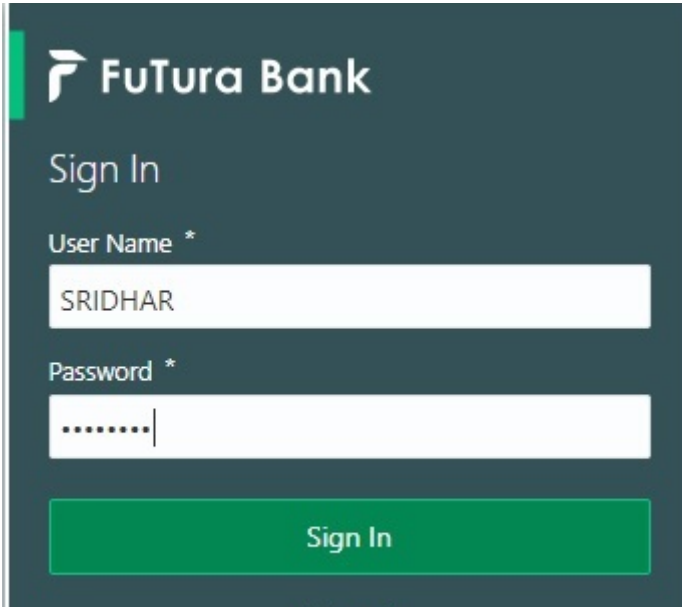


Note

For expired line of limits, the task moves to “Limit Exception” stage under Free Tasks, on ‘Submit’ of DE Stage with the reason for exception as “Limit Expired”.

Do the following steps to acquire a task at Data Enrichment stage:

1. Using the entitled login credentials for Scrutiny stage, login to the OBTFPM application.



FuTura Bank

Sign In

User Name *

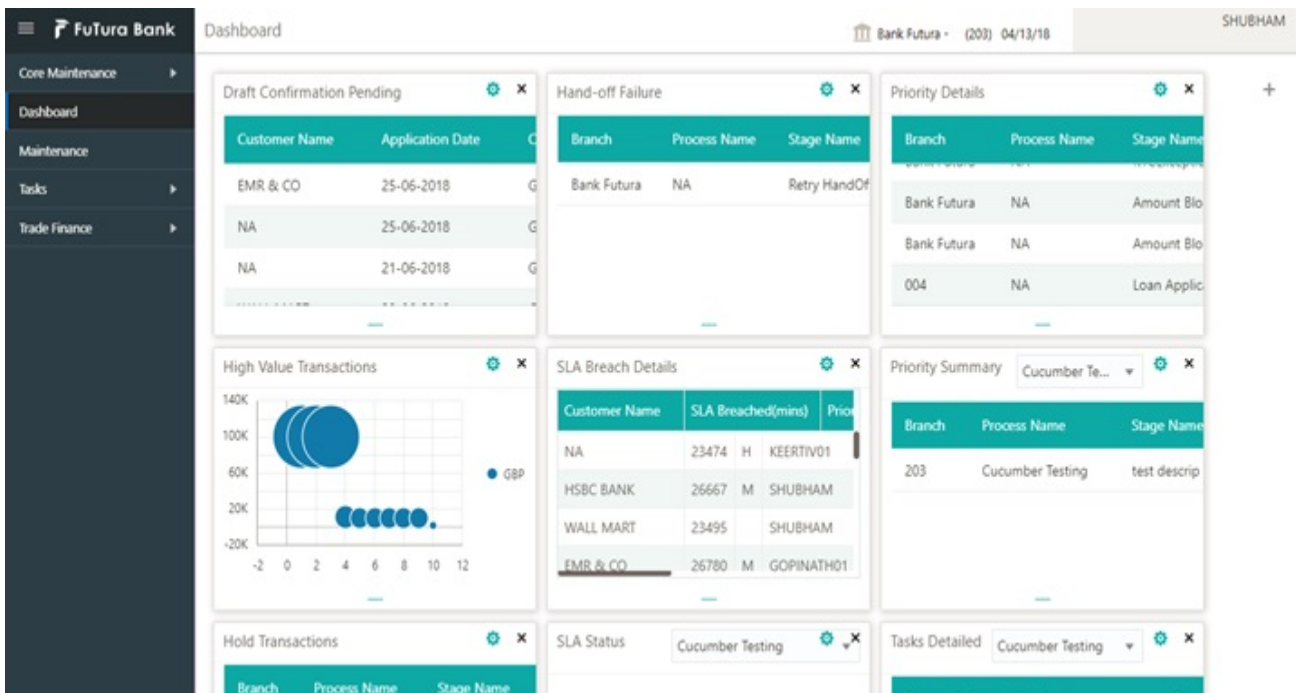
SRIDHAR

Password *

.....

Sign In

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



FuTura Bank Dashboard

Bank Futura • (203) 04/13/18 SHUBHAM

Core Maintenance

Dashboard

Maintenance

Tasks

Trade Finance

Draft Confirmation Pending

Customer Name	Application Date	Stage Name
EMR & CO	25-06-2018	G
NA	25-06-2018	G
NA	21-06-2018	G

Hand-off Failure

Branch	Process Name	Stage Name
Bank Futura	NA	Retry HandOf

Priority Details

Branch	Process Name	Stage Name
Bank Futura	NA	Amount Blo
Bank Futura	NA	Amount Blo
004	NA	Loan Applic

High Value Transactions

140K

100K

60K

20K

-20K

-2 0 2 4 6 8 10 12

GBP

SLA Breach Details

Customer Name	SLA Breached(mins)	Priority
NA	23474	H KEERTIV01
HSBC BANK	26667	M SHUBHAM
WALL MART	23495	SHUBHAM
EMR & CO	26780	M GOPINATH01

Priority Summary

Branch	Process Name	Stage Name
203	Cucumber Testing	test descrip

Hold Transactions

Branch	Process Name	Stage Name
--------	--------------	------------

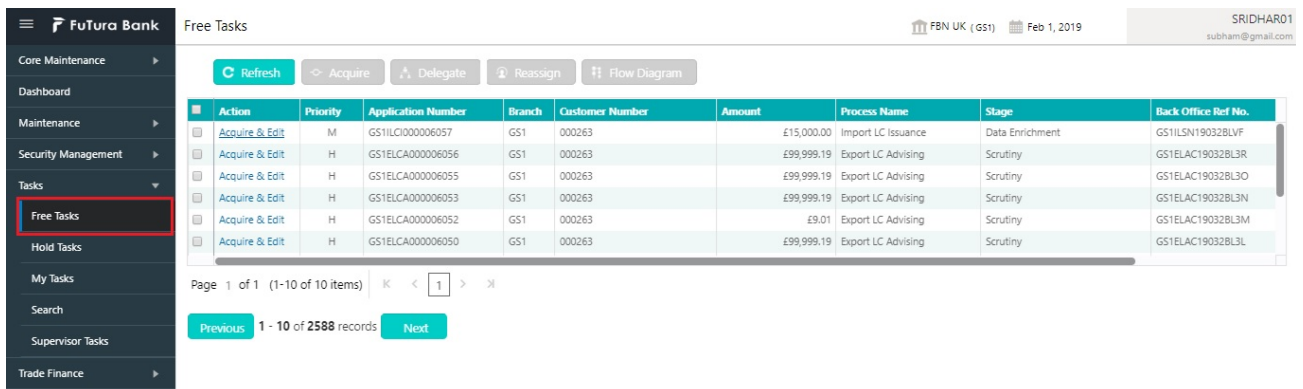
SLA Status

Cucumber Testing

Tasks Detailed

Cucumber Testing

3. Click Trade Finance> Tasks> Free Tasks.



FuTura Bank Free Tasks

FBN UK (GS1) Feb 1, 2019 SRIDHAR01 subham@gmail.com

Core Maintenance

Dashboard

Maintenance

Security Management

Tasks

Free Tasks

Hold Tasks

My Tasks

Search

Supervisor Tasks

Trade Finance

Refresh Acquire Delegate Reassign Flow Diagram

Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage	Back Office Ref No.
Acquire & Edit	M	GS1ELCA000006057	GS1	000263	£15,000.00	Import LC Issuance	Data Enrichment	GS1LSN19032BLVF
Acquire & Edit	H	GS1ELCA000006056	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC19032BL3R
Acquire & Edit	H	GS1ELCA000006055	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC19032BL3O
Acquire & Edit	H	GS1ELCA000006053	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC19032BL3N
Acquire & Edit	H	GS1ELCA000006052	GS1	000263	£9,01	Export LC Advising	Scrutiny	GS1ELAC19032BL3M
Acquire & Edit	H	GS1ELCA000006050	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC19032BL3L

Page 1 of 1 (1-10 of 10 items)

Previous 1 - 10 of 2588 records Next

4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks** tab.

Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage	Back Office Ref No.
Acquire & Edit	M	GS11LCI000006057	GS1	000263	£15,000.00	Import LC Issuance	Data Enrichment	GS11LSN19032BLVF
Acquire & Edit	H	GS1ELCA000006056	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC19032BL3R
Acquire & Edit	H	GS1ELCA000006055	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC19032BL3O
Acquire & Edit	H	GS1ELCA000006053	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC19032BL3N
Acquire & Edit	H	GS1ELCA000006052	GS1	000263	£9.01	Export LC Advising	Scrutiny	GS1ELAC19032BL3M
Acquire & Edit	H	GS1ELCA000006050	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC19032BL3L

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to provide input for Data Enrichment stage.

Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage	Back Office Ref No.
Edit	M	GS11LCI000006057	GS1	000263	£15,000.00	Import LC Issuance	Data Enrichment	GS11LSN19032BLVF

The Data Enrichment stage has six sections as follows:

- Main Details
- Availability
- Payment
- Documents & Conditions
- Additional Fields
- Additional Details
- Settlement Details
- Summary

Let's look at the details for Data Enrichment stage. User must be able to enter/update the following fields. Some of the fields that are already having value from Scrutiny/Online channels may not be editable.

Main Details

Refer to [Common Group Message](#).

Availability

Refer to [Availability](#).

Payment Details

Refer to [Payment Details](#).

Documents & Conditions

User must provide the required documents and additional conditions (if applicable) in this section.

ORACLE

My Tasks

(300)
Jan 1, 2016

JEEVA02
subham@gmail.com

Import LC Issuance - DataEnrichment :: Application No: 300ILCI000039642

Main

Availability

Payment

Documents and Conditions

Additional Fields

Advices

Additional Details

Summary

Documents and Conditions

Document Details

	Code	Name	Copy	Original	Description	Action
☐	AIRDOC	Air Way	2	1/2	CLEAN AIR WAYBILLS CONSIGNED TO APPLICANT, NOTIFY APPLICANT, MARKED F73	
☒	INSDOC	Insurance	2		Insurance Policy/Certificate issued for 110 percent of invoice-value, duly endorsed, cov	
☐	INVDOC	Invoice		1/2	Commercial invoice, duly signed	
☐	MARDOC	Sea Way	2	1/2	COPY OF FAX/TELEX ADVISING APPLICANT PARTICULARS OF SHIPMENT INCLUDING	
☐	OTHERDOC	OTHERDOC			Beneficiary's declaration stating that 1/3 original Bill of Lading has been sent simultane	

Additional Conditions

Select	FFT Code	FFT Description	
☐	33ADDAMTCLMD	ALL DOCUMENTS TO MENTION LC NUMBER AND EXPIRY DATE	

Audit

Request Clarification

Reject

Refer

Hold

Cancel

Save & Close

Back

Next

Screen (4 / 8)

Documents Details

Document Details

	Code	Name	Copy	Original	Description	Action
☐	AIRDOC	Air Way	2	1/2	CLEAN AIR WAYBILLS CONSIGNED TO APPLICANT, NOTIFY APPLICANT, MARKED F73	
☐	INSDOC	Insurance	2		Insurance Policy/Certificate issued for 110 percent of invoice-value, duly endorsed, cov	
☐	INVDOC	Invoice		1/2	Commercial invoice, duly signed	
☐	MARDOC	Sea Way	2	1/2	COPY OF FAX/TELEX ADVISING APPLICANT PARTICULARS OF SHIPMENT INCLUDING	
☐	OTHERDOC	OTHERDOC			Beneficiary's declaration stating that 1/3 original Bill of Lading has been sent simultane	

Based on the 'Product' selected, Application will default the documents required under the LC. User can edit the details, delete an existing document and also add additional documents to the defaulted list.



Note

Insurance document is available in Documents Details section, if value in the 'INCO Term' field is not 'CFR', 'FOB', 'FCA', 'FAS' or 'EXW' in the **Availability** stage. If not system gives the warning message.

Online Channel - System will default the details received in the Description column. Based on the details populated, user can pick corresponding values for document code, originals and copy.

Non Online Channel - User can further edit (add or remove) the documents or document description as per requirement. Application will display an alert message, if both 'Bill Of lading' and 'Airway Bill' are chosen.

Additional Conditions

Select	FFT Code	FFT Description
☐	FFTLCAC	DISCREPANCY FEE FOR USD 75.- (OR EQUIVALENT IN L/C CURRENCY) PLUS ALL RELATIVE SWIFT CHARGES WILL BE DEDUCTED FROM DOCUM

Online Channel - System will default the details received in the description column. System will parse the additional conditions required field into multiple line items based on line de-limiter (+) and shall populate each line item as a separate description. User can read the description and make any changes required to the description, also must be able to add more conditions.

Non Online Channel - User can use FFT to capture additional conditions and can edit the description populated from FFT. You should also be able to add additional FFT.

Additional Fields

Refer to [Additional Fields](#).

Advices

Advices menu displays the advices available under a product code from the back office as tiles. User can edit the fields in the tile, if required.

Oracle Mv Tasks

Import LC Issuance - DataEnrichment :: Application No: 300ILCI000035573

Advices

Advice : PAYMENT_MESSAGE

Advice Name : PAYMENT_MESSAGE
Advice Party :
Party Name :
Suppress : N
Advice

Audit Reject Refer Hold Cancel Save & Close Back Next

The user can also suppress the Advice, if required.

Additional Details

Import LC Issuance - DataEnrichment :: Application No: PK2ILCI000062735

Screen (7 / 9)

- Main
- Availability
- Payment
- Documents and Conditions
- Additional Fields
- Advices
- Additional Details**
- Settlement Details
- Summary

Additional Details

Limits and Collaterals	Revolving Details	Commission, Charges and...	Preview Messages
Limit Currency : EUR Limit Contribution : 2200 Limit Status : Not Verified Collateral Currency : GBP Collateral Contribution : 176 Collateral Status : Not Verified	Revolving : No Revolving In : Revolving Frequency :	Charge : Commission : Tax : Block Status : Not Initiated	Language : Preview Advice : -
Create Template	Insurance Details	FX Linkage	
Template Code : -	Company : Insured Amount : Expiry Date :	FX Reference Number : Contract Currency : Contract Amount :	

[Audit](#)
[Reject](#)
[Refer](#)
[Hold](#)
[Cancel](#)
[Save & Close](#)
[Back](#)
[Next](#)

Revolving Details

Revolving

Revolving No	Revolving In Cummulative	Revolving Frequency Automatic Reinstatement	Revolve Units
Next Reinstatement Date mm/dd/yy			

[Save & Close](#)
[Cancel](#)

Provide the Revolving Details based on the description in the following table:

Field	Description	Sample Values
Revolving	Select if the LC is revolving or not using the drop down.	
Revolving In	Select the mode of revolving in this field. The LC can revolve with Time or Units.	
Revolving Frequency	In case the LC revolves with time, then this field should be updated. This field captures the frequency in days and months by which the LC revolves.	
Revolving Units	You can capture the units by which the LC revolves.	

Field	Description	Sample Values
Next Reinstatement Date	This field defaults the date of next reinstatement for the LC based on the revolving frequency selected.	
Cumulative	This field is a toggle to indicate if the LC value has to be cumulative or not on reinstatement.	
Automatic Reinstatement	This field enables you to have automatic reinstatement on the reinstatement day without manual intervention.	

Limits & Collateral

On Approval, system should not release the Earmarking against each limit line and system should handoff the “Limit Earmark Reference Number” to the back office. On successful handoff, back office will make use of these “Limit Earmark Reference Number” to release the Limit Earmark done in the mid office (OBTFPM) and should Earmark the limit from the Back office.

In case multiple Lines are applicable, Limit Earmark Reference for all lines to be passed to the back office.

Provide the Limit Details based on the description in the following table:

Limits and Collaterals

Limit Details

☐	Customer ID	Line ID	Contribution %	Contribution Currency	Contribution Amount	Limit Check Response	Response Message	Edit	Delete
☐	000327		100	USD	\$100.00			000327	

Cash Collateral Details

Collateral Percentage *
67.0

Collateral Currency and amount
USD
\$67.00

Exchange Rate
1

☐	Sequence Number	Settlement Account Currency	Settlement Account	Exchange Rate	Collateral %	Contribution Amount	Contribution Amount in Account Currency	Account Balance Check Response	Response
☐	1	GBP	PK1000327018	1.3	100	\$67.00	0	VS	The arrangement has been approved.

Deposit Linkage Details

☐	Deposit Account	Deposit Currency	Deposit Maturity Date	Transaction Currency	Deposit Available In Transaction Currency	Linkage Amount(Transaction Currency)	Edit	Delete
☐	PK2CDP1210860501	GBP	2022-03-27	GBP	199100	\$990.00	PK2CDP1210860501	

Page 1 of 1 (1 of 1 items)

Limit Details

Customer Id

001044

Contribution % *

100.0

Contribution Currency

GBP

Limit Currency

GBP

Limit Check Response

Available

Expiry Date

Verify

Line ID *

001044

Limits Description

Contribution Amount *

£2,200.00

Limit Available Amount

£999,528,418,464.36

Response Message

The Earmark can be performed as the f

Save & Close

Close

Field	Description	Sample Values
Plus Icon 	Click plus icon to add new Limit Details.	

Limit Details

Click + plus icon to add new limit details.

Below fields are displayed on the Limit Details pop-up screen, if the user clicks plus icon.

Customer ID	Applicant's/Applicant Bank customer ID will get defaulted.	
Line ID	User can choose from the various lines available and mapped under the customer id gets listed in the drop down. LINE ID-DESCRIPTION will be available for selection along with Line ID. When you click on 'verify', the system will return value if the limit check was successful or Limit not Available. If limit check fails, the outstanding limit after the transaction value will be shown in the limit outstanding amount.  Note User can also select expired Line ID from the lookup and on clicking the verify button, system should default "The Earmarking cannot be performed as the Line ID is Expired" in the "Response Message" field.	

Field	Description	Sample Values
Contribution%	System will default this to 100%. User can modify, if contribution is more than 100%. System will display an alert message, if modified. Once contribution % is provided, system will default the amount. System to validate that if Limit Contribution% plus Collateral% is equal to 100. If the total percentage is not equal to 100 application will display an alert message.	
Limits Description	This field displays the limits description.	
Contribution Currency	The LC currency will be defaulted in this field.	
Contribution Amount	Contribution amount will default based on the contribution %.	
Limit Currency	Limit Currency will be defaulted in this field.	
Limit Available Amount	This field will display the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount.	
Limit Check Response	Response can be 'Success' or 'Limit not Available' based on the limit service call response.	
Response Message	Detailed Response message.	
Expiry Date	This field displays the date up to which the Line is valid	

Collateral Details

Total Collateral Amount *

\$67.00

Sequence Number

2.0

Collateral Contribution Amount *

\$67.00

Settlement Account Currency

GBP

Contribution Amount in Account Currency

£0.00

Response

VS

Verify

Collateral Amount to be Collected *

\$0.00

Collateral Split % *

100.0

▼ ▲

Settlement Account *

PK1000327018

🔍

Exchange Rate

1.3

▼ ▲

Account Available Amount

£99,999,393,343.91

Response Message

The amount block can be performed as:

✓ Save & Close

✕ Cancel

Field	Description	Sample Values
Cash Collateral Details		
Collateral Percentage	Specify the percentage of collateral to be linked to this transaction.	
Collateral Currency and amount	System populates the contract currency as collateral currency by default. User can modify the collateral Currency and amount.	
Exchange Rate	System populates the exchange rate maintained. User can modify the collateral Currency and amount. System validates for the Override Limit and the Stop limit if defaulted exchange rate is modified.	
Click + plus icon to add new collateral details.		
Below fields are displayed on the Collateral Details pop-up screen, if the user clicks plus icon.		
Total Collateral Amount	Read only field. This field displays the total collateral amount provided by the user.	
Collateral Amount to be Collected	Read only field. This field displays the collateral amount yet to be collected as part of the collateral split.	
Sequence Number	Read only field. The sequence number is auto populated with the value, generated by the system.	

Field	Description	Sample Values
Collateral Split %	Specify the collateral split% to be collected against the selected settlement account.	
Collateral Contribution Amount	Collateral contribution amount will get defaulted in this field. The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.	
Settlement Account	Select the settlement account for the collateral.	
Settlement Account Currency	Settlement Account Currency will be auto-populated based on the Settlement Account selection.	
Exchange Rate	Read only field. This field displays the exchange rate, if the settlement account currency is different from the collateral currency.	
Contribution Amount in Account Currency	Read only field. This field displays the contribution amount in the settlement account currency as defaulted by the system.	
Account Available Amount	Read only field. Account available amount will be auto-populated based on the Settlement Account selection.	
Response	Response can be 'Success' or 'Amount not Available'. System populates the response on clicking the Verify button.	
Response Message	Detailed Response message. System populates the response on clicking the Verify button.	
Verify	Click to verify the account balance of the Settlement Account.	
Save & Close	Click to save and close the record.	
Cancel	Click to cancel the entry.	

Below fields appear in the **Cash Collateral Details** grid along with the above fields.

Field	Description	Sample Values
Collateral %	User must enter the percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message. System defaults the collateral % maintained for the customer into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display an override message "Defaulted Collateral Percentage modified".	
Contribution Amount	This field displays the collateral contribution amount. The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified."	
Account Balance Check Response	This field displays the account balance check response.	
Delete Icon 	Click minus icon to remove any existing Collateral Details.	
Edit Link	Click edit link to edit any existing Collateral Details.	

Commission, Charges and Taxes

After payment, click on **Default Charges** button to the default commission, charges and tax if any will get populated.

If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from Back End system.

Commission,Charges and Taxes ×

Recalculate

Redefault

Commission Details

Event

Event Description

Component	Rate	Modified Rate	Currency	Amount	Modified	Defer	Waive	Charge Party	Settlement Account
No data to display.									
Page 1 (0 of 0 items) < 1 >									

Charge Details

Component

Tag currency

Tag Amount

Currency

Amount

Modified

Billing

Defer

Waive

Charge Party

Settlement Account

No data to display.										
Page 1 (0 of 0 items) < 1 >										

Tax Details

Component

Type

Value Date

Currency

Amount

Billing

Defer

Settlement Account

--	--	--	--	--	--	--	--	--

Save & Close

Cancel

Commission Details

Provide the Commission Details based on the description provided in the following table:

Field	Description	Sample Values
Event	Read only field. This field displays the event name.	
Event Description	Read only field. This field displays the description of the event.	
Component	Select the commission component	
Rate	Defaults from product. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.	
Modified Rate	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Currency	Defaults the currency in which the commission needs to be collected	

Field	Description	Sample Values
Amount	An amount that is maintained under the product code defaults in this field. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate, but not the commission amount directly. The amount gets modified based on the rate changed and the new amount is calculated in back office based on the new rate and is populated in OBTFPM. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.	
Modified	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step.	
Waive	Select the check box to waive charges/ commission. Based on the customer maintenance, the charges/commission can be marked for Billing or Defer. If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary	
Settlement Account	Details of the Settlement Account.	

Charge Details

Field	Description	Sample Values
Component	Charge Component type.	
Tag Currency	Defaults the tag currency in which the charges have to be collected.	
Tag Amount	Defaults the tag amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Modified	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.	
Billing	If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM. The user can not select/de-select the check box if it is de-selected by default. This field is disabled, if 'Defer' toggle is enabled.	
Defer	If charges have to be deferred and collected at any future step, this check box has to be selected. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM. The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Waive	If charges have to be waived, this check box has to be selected. Based on the customer maintenance, the charges should be marked for Billing or for Defer. This field is disabled, if 'Defer' toggle is enabled.	
Charge Party	Charge party will be applicant by default. You can change the value to beneficiary	

Field	Description	Sample Values
Settlement Account	Details of the settlement account.	

Tax Details

The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

Provide the Tax Details based on the information in the following table:

Field	Description	Sample Values
Component	Tax Component type	
Type	Type of tax Component.	
Value Date	This field displays the value date of tax component.	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Billing	If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. This field is disabled, if 'Defer' toggle is enabled.	
Defer	If taxes have to be deferred and collected at any future step, this option has to be enabled. The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Settlement Account	Details of the settlement account.	

FX Linkage

This section enables the user to link the existing FX contract(s) to the LC transactions. User can link multiple forward FX contracts.

FX contract linkage with the Bill booking can happen only for immediate liquidation of sight payment or for Usance. For manual sight payment, the user needs to link the FX contract on the date of liquidation of the Bill.

FX Linkage

FX Reference Number	Bought Currency	SOLD Currency	Available Contract Amount	Rate	Linked Amount	Current Utilized Amount	Total Utilized Amount	FX Expiry Date	Action
PK2FXF1200760501	EUR	GBP	US\$10,000.00	1.33	US\$10,000.00			28-Jun-2020	

Page 1 of 1 (1 of 1 items)

Average FX Rate
0

Save & Close Cancel

FX Linkage

FX Reference Number *
PK2FXF1200760501

Contract Amount
EUR €10,000.00

Linkage Amount *
EUR €10,000.00

Amount in Contract Currency
7518.8

FX Delivery Period From

Currency
EUR

Available Contract Amount
EUR €10,000.00

Rate
1.33

FX Expiry Date
28-Jun-2020

FX Delivery Period To

Save & Close Close

Provide the FX linkage detail based on the description in the following table:

Field	Description	Sample Values
FX Reference Number	Select the FX contract reference number from the LOV. On select and save and close, system defaults the available amount, bot currency, sold currency and rate. Forward FX Linkage available for selection at bill would be as follows, - Counterparty of the FX contract should be the counterparty of the Bill contract. - Active Forward FX transactions authorized not marked for auto liquidation. Bill contract currency should be BOT currency of the FX transaction in case of an export Bill or the SOLD currency in case of an Import Bill.	

Field	Description	Sample Values
Bought Currency	This field displays the currency from the linked FX contract.	
Sold Currency	This field displays the currency from the linked FX contract.	
Available ContractAmount	Available amount will be FX contract amount minus the linked amount. Available amount for linkage should be greater than Zero.	
Rate	This field displays the rate at which the contract is booked.	
Linked Amount	Sum of Linked amount will not be greater than LC contract amount. Linked amount will not be greater than the available amount for linkage.	
Current Utilized amount	This field displays the liquidated /purchased / discounted /negotiated amount of BC contract. It cannot go beyond the linked FX amount.	
Total Utilized amount	This field displays the total amount utilized against the corresponding linked FX. On query, both Utilized and Total Utilized amount holds the amount of latest version.	
Amount in Contract Currency	This field displays the amount in contract currency converted from FX currency.	
FX Expiry Date	This field displays the expiry date from the linked FX contract.	
FX Delivery Period - From	This field displays the date from which the contract is valid for utilization.	
FX Delivery Period - To	This field displays the date to which the contract is valid for utilization.	
Action	Click the Edit icon to modify the FX details. Click the Delete icon to delete the FX details.	
Average FX Rate	Multiple forward FX contract could be linked, and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. This will be populated in the Average FX Rate.	

Preview

User can preview the draft SWIFT message based on message type and the draft mail advice based on the advice type.

Preview Messages

Preview - SWIFT Message

Language

English

Message Type

Select

Preview Advice

Preview - Mail Advice

Language

English

Advice Type

LC_INSTRUMENT

Preview Advice

DATE : 01-FEB-19

PAGE : 1

LC INSTRUMENT - BANK'S COPY

NESTLE

NESGGB2SXXX

DOCUMENTARY CREDIT

LETTER OF CREDIT INSTRUMENT

Save & Close

Cancel

Provide the Preview details based on the description in the following table:

Field	Description	Sample Values
Preview - Swift Message		
Language	Read only field. English is set as default language for the preview.	
Message type	Select the message type from the drop down. User can choose to see preview of different message like MT 700, MT 740 and MT 701.	
Preview - Mail Advice		
Language	Read only field. English is set as default language for the preview.	
Advice type	Select the advice type.	

Tracer Details

User can trace the response for the discrepancies identified.

The screenshot shows a 'Tracer Details' window with three sections: Acknowledgement Tracer, Confirmation Tracer, and Charges Tracer. Each section contains the following fields:

- Tracer Required:** A toggle switch.
- Number of Tracers:** A text input field.
- Tracer Frequency:** A dropdown menu.
- Tracer Medium:** A dropdown menu.
- Tracer Receiver Party:** A dropdown menu.
- Tracer Start Date:** A date picker calendar.

At the bottom right of the window are two buttons: 'Save & Close' and 'Cancel'.

Acknowledgement Tracer

Provide the acknowledgement tracer details based on the description in the following table:

Field	Description	Sample Values
Tracer Required	Toggle on - Switch on the toggle to capture the tracer details. Toggle off - Switch of the toggle, if user does not require to capture tracer details.	
Number of Tracers	Provide the number of tracers required.	
Tracer Frequency	System will default the days set up at the product level. Value can be 1, 2 etc. which represents daily, once in 2 days etc.	
Tracer Medium	Select the tracer medium from the LOV: - Mail - Email - Swift	
Tracer Receiver Party	Read only field. 'Applicant' will be defaulted as tracer receiver party.	
Tracer Start Date	Capture the tracer start date. If the date is earlier than system date, system to display an error message.	

Confirmation Tracer

Provide the confirmation tracer details based on the description in the following table:

Field	Description	Sample Values
Tracer Required	Toggle on - Switch on the toggle to capture the tracer details. Toggle off - Switch of the toggle, if user does not require to capture tracer details.	
Number of Tracers	Provide the number of tracers required.	
Tracer Frequency	System will default the days set up at the product level. Value can be 1, 2 etc. which represents daily, once in 2 days etc.	
Tracer Medium	Select the tracer medium from the LOV: • Mail • Email • Swift	
Tracer Receiver Party	Read only field. 'Applicant' will be defaulted as tracer receiver party.	
Tracer Start Date	Capture the tracer start date. If the date is earlier than system date, system to display an error message.	

Charges Tracer

Provide the charges tracer details based on the description in the following table:

Field	Description	Sample Values
Tracer Required	Toggle on - Switch on the toggle to capture the tracer details. Toggle off - Switch of the toggle, if user does not require to capture tracer details.	
Number of Tracers	Provide the number of tracers required.	
Tracer Frequency	System will default the days set up at the product level. Value can be 1, 2 etc. which represents daily, once in 2 days etc.	
Tracer Medium	Select the tracer medium from the LOV: • Mail • Email • Swift	
Tracer Receiver Party	Read only field. 'Applicant' will be defaulted as tracer receiver party.	
Tracer Start Date	Capture the tracer start date. If the date is earlier than system date, system to display an error message.	

Settlement Details

Import LC Issuance - DataEnrichment :: Application No: PK1ILCI000062025

Screen (8 / 9)

Settlement Details

☐ Current Event

Component	Currency	Debit/Credit	Account	Account Description	Account Currency	Netting Indicator	Current Event
AILSR_COM1_LIQD	GBP	Debit	PK1000328012	HSBC BANK	GBP		N
AILSR_COMM_LIQD	GBP	Debit	PK1000328012	HSBC BANK	GBP		N
COLLAMT_OSEQ	USD	Debit	PK1000328012	HSBC BANK	GBP		N
COLLAMNDAMTEQ	USD	Debit	PK1000328012	HSBC BANK	GBP		Y
COLLAMTEQ	USD	Debit	PK1000328012	HSBC BANK	GBP		N
COLLAMT_DECR	USD	Credit	313100004	LC Confirming Charges	GBP		Y
COLLAMT_INCR	USD	Debit	PK1000328012	HSBC BANK	GBP		Y
COLLAMAMTEQ	USD	Credit	313100004	LC Confirming Charges	GBP		N
LCCOURAMND_LIQD	GBP	Debit	PK1000328012	HSBC BANK	GBP		Y
LCCOURISS_LIQD	GBP	Debit	PK1000328012	HSBC BANK	GBP		N

COLLAMNDAMTEQ - Party Details

Transfer Type: Bank Transfer

Charge Details: Remitter All Charges

Netting Indicator:

Ordering Customer:

Ordering Institution:

Senders Correspondent:

Receivers Correspondent:

Intermediary Institution:

Account With Institution:

Beneficiary Institution:

Ultimate Beneficiary:

Intermediary Reimbursement Institution:

Payment Details

Reject Refer Hold Cancel Save & Close Back Next

Provide the settlement details based on the description in the following table:

Field	Description	Sample Values
Current Event	The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event.	
Component	Components gets defaulted based on the product selected.	
Currency	System displays the default currency for the component.	
Debit/Credit	System displays the debit/credit indicators for the components.	
Account	System displays the account details for the components.	
Account Description	System displays the description of the selected account.	
Account Currency	System defaults the currency for all the items based on the account number.	
Netting Indicator	Application displays the applicable netting indicator.	
Current Event	System defaults the current event as Y or N.	

Summary

User can review the summary of details updated in Data Enrichment stage Import LC Issuance request.

Import LC Issuance - DataEnrichment :: Application No: PK2ILC1000062735

Screen (9 / 9)

Summary

Main Form of LC : IRREVOCABLE Submission Mode : Desk Date of Issue : 2021-05-05	Availability Available With : Available By : Port of Loading : Port of Discharge :	Payment Period of Present. : Confirmation Instr. : CONFIRM	Documents and Conditions Document 1 : AIRDOC Document 2 : INSDOC Document 3 : INVDOC Document 4 : MARDOC Document 5 : OTHERDOC	Additional Fields Click here to view : Additional fields :
Advices Advice 1 : Advice 2 :	Revolving Details Revolving : NO Revolving In : Revolving Frequency :	Limits and Collaterals Limit Currency : EUR Limit Contribution : 2200 Limit Status : Not Verified Collateral Currency : GBP Collateral Contr. : 176 Collateral Status : Not Verified	Commission,Charges and Taxes Charge : Commission : Tax : Block Status : Not Initia	Preview Messages Language : ENG Preview Message : +
Party Details Applicant : GOODCARE PLC Beneficiary : MARKS AND Advising Bank : RBS PLC	Compliance KYC : Not Initia Sanctions : Not Initia AML : Not Initia	Accounting Details Event : Account Number : Branch :	FX Linkage Reference Number : Contract Amount : Contract Currency :	Insurance Details Company : Insured Amount : Expiry Date :
Settlement Details Component : Account Number : Currency :				

Action Buttons: Reject, Refer, Hold, Cancel, Save & Close, Back, Next, Submit

Tiles Displayed in Summary

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required.
- Availability - User can view and modify availability and shipment details, if required.
- Payment - User can view and modify all details related to payments, if required.
- Documents & Condition - User can view and modify the documents required grid and the additional conditions grid, if required.
- Additional Fields - User can view and modify the details of additional fields, if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Commission and Charges and Taxes - User can view and modify charge details, if required.
- Revolving Details - User can view and modify revolving details on revolving LC, if applicable.
- Preview Messages - User can view preview messages, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Accounting Details - User can view the accounting entries generated in back office.



Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries."

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to Upload the required documents. Application will display the mandatory and optional documents.	

Field	Description	Sample Values
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view overrides, if any.	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
Request Clarification	User should be able to specify the clarification details for requests received online.	
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	
Submit	Task will get moved to next logical stage of Import LC Issuance. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Data Enrichment stage inputs and the task gets landed in My Task menu.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	

Field	Description	Sample Values
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process. On reject, a reject notification gets generated to the applicant.	

Customer Response - Draft Confirmation

The user can review and handle the customer's response received for the draft confirmation for LC issuance transactions, which is sent to the customer for their verification and confirmation. The OBTFPM system sends Draft MT700 along with up to seven MT701 messages as attachment to the customer.

The Transaction Reference Number is masked, before sending the Draft Import LC for Customer approval.

The customer response can be received both by online and offline mode. In non-online mode, user receives the response in the branch.

In online mode the customer will share their response online that will be automatically updated in the customer response field in the task, which is available in the customer response pending stage.

Log in into OBTFPM application, and open the task to see customer response screen.

Customer Response

Language - Read only field

Draft Message - Read only field

Draft Confirmation

Draft Confirmation required - Read only field

Customer Response - This field will be available for you to update any of the below response based on the customer's reply

- Accepted
- Changes Required - Change and proceed

For non-online response – User can select customer response from one of the three drop list values mentioned above.

For Online response – Read only

Customer Remarks - Capture the remarks of the customer.

Response Date - Non-Online channel – Update the date on which the customer response has been received.

Online Channel – Read only

Summary

Tiles Displayed in Summary

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Payments - User can view and modify all details related to payments, if required.
- Documents & Condition - User can view and modify the documents required grid and the additional conditions grid, if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Revolving Details - User can view and modify revolving details on revolving LC, if applicable.
- Preview Messages - User can view and modify preview details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Documents – User must be able to view the documents uploaded in the system and upload documents if customer response has been received through non- online channel.

Remark - Capture remarks if required and must be able to view the remarks captured during earlier stages.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Submit	Task will get moved to next logical stage of Import LC Issuance. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Cancel	Cancel the Draft Confirmation.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	

Field	Description	Sample Values
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process. On reject, a reject notification gets generated to the applicant.	
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others	

Exceptions

The Import LC Issuance request, before it reaches the approval stage, the application will validate the Amount Block, KYC and AML. If any of these failed in validation will reach exception stage for further clearance for the exceptions.

Exception - Amount Block

As part of amount block validation, application will check if sufficient balance is available in the account to create the block. On hand-off, system will debit the blocked account to the extent of block and credit charges/ commission account in case of charges block or credit the amount in suspense account for blocks created for collateral.

The transactions that have failed amount block due to non-availability of amount in respective account will reach the amount block exception stage.

Log in into OBTFPM application, amount block exception queue. Amount block validation failed tasks for trade transactions will be listed in the queue. Open the task to view summary of important fields with values.

On Approval, system should not release the Amount Block against each applicable account and system should handoff the "Amount Block Reference Number" to the back office. On successful handoff, back office will make use of these "Amount Block

Reference Number" to release the Amount Block done in the mid office (OBTFPM) and should debit the CASA account from the Back office. If multiple accounts are applicable, Amount Block.

Reference for all accounts to be passed to the back office.

Exception is created when sufficient balance is not available for blocking the settlement account and the same can be addressed by the approver in the following ways:

Approve:

- Settlement amount will be funded (outside of this process)
- Allow account to be overdrawn during hand-off

Refer:

- Refer back to DE providing alternate settlement account to be used for block.
- Different collateral to be mapped or utilize lines in place of collateral.

Reject:

Reject the transaction due to non-availability of sufficient balance in settlement account

Amount Block Exception

This section will display the amount block exception details.

Import LC Issuance - Amount Block Exception

RemarksDocumentsChecklist

Amount Block Exception

Summary

Amount Block Exception

Application :- 2031LCISS000000888

Amount Block Exception Details

Type	Contract	Currency	Block Amount	Branch	Account	Account Currency	Block Ref No	Block Status	Block Status Details
No data to display.									

RejectHoldReferCancelApproveBackNext

Summary

Import LC Issuance
AmountBlock Exception Approval : Application No:- PK1ILCI000071911

Documents Remarks Overrides Customer Instruction Common Group Messages Incoming Message

Amount Block Exception Summary Screen (2 / 2)

Main Form of LC : IRREVOCABLE Submission Mode : Desk Date of Issue : 2021-05-05	Availability Available With : Available By : Port of Loading : Port of Discharge :	Payment Period of Present. : Confirmation Instr. : WITHOUT	Documents and Conditions Document 1 : Document 2 :
Additional Fields Click here to view : Additional fields	Advices Advice 1 : Advice 2 :	Revolving Details Revolving : NO Revolving In : Revolving Frequency :	Limits and Collaterals Limit Currency : Limit Contribution : Limit Status : Not Verified Collateral Currency : Collateral Contr. : Collateral Status : Not Verified
Commission,Charges and Taxes Charge : Not Available Commission : Not Available Tax : Not Available Block Status : Not Available	Preview Messages Language : ENG Preview Message : -	Party Details Applicant : Trade Indi Beneficiary : Trade Indi	Compliance KYC : Not Verified Sanctions : Verified AML : Verified

Audit Reject Refer Hold Approve Back Next

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charge Details - User can view and modify details provided for charges, if required.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	On click of reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process. On reject, a reject notification gets generated to the applicant.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	

Field	Description	Sample Values
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others	
Cancel	Cancel the Import LC issuance Amount Block Exception check and the task gets landed in My Task menu.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

Exception - Know Your Customer (KYC)

As part of KYC validation, application will check if necessary KYC documents are available and valid for the applicant. The transactions that have failed KYC due to non-availability / expired KYC verification will reach KYC exception stage.

Log in into OBTFPM application, KYC exception queue. KYC exception failed tasks for Trade Finance transactions must be listed in your queue. Open the task, to see summary tiles that display a summary of important fields with values.

User can pick up a transaction and do the following actions:

Approve

- After changing the KYC status in the Back End application (outside this process).
- Without changing the KYC status in the Back End application.
- Reject (with appropriate reject reason).

Summary

Futura Bank | My Tasks | Bank Futura - (203) 04/13/18 | SHUBHAM

Import LC Issuance - KYC Exception

KYC Exception Details | **Summary** | Remarks | Documents | Checklist

Application :- 203ILCISS000000011

Main Details

- Form Of LC : **IRREVOCABLE**
- Submission Mode : **Desk**
- Date Of Issue : **2018-04-13**
- Date Of Expiry : **2018-07-31**
- Place Of Expiry : **ny**

Party Details

- Applicant : **NESTLE**
- Beneficiary : **EMR & CO**
- Advising Bank : **BANK OF AMERICA**
- Confirming Bank :

Limits & Collaterals

- Limit Currency :
- Limit Contribution :
- Limit Status :
- Collateral Currency :
- Collateral Contribution :
- Collateral Status : **Not Verified**

Compliance

- KYC : **Not Verified**
- Sanctions : **Not Initiated**
- AML : **Not Initiated**

Reject | Hold | Refer | Cancel | Approve | Back | Print | Submit

Tiles Displayed in Summary:

- **Main Details** - User can view and modify details about application details and LC details, if required.
- **Party Details** - User can view and modify party details like beneficiary, advising bank etc., if required
- **Limits and Collaterals** - User can view and modify limits and collateral details, if required.
- **Compliance** - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process. On reject, a reject notification gets generated to the applicant.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	

Field	Description	Sample Values
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others	
Cancel	Cancel the Import LC issuance KYC exception check and the task gets landed in My Task menu.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

Exception - Limit Check/Credit

The transactions that have failed limit check due to non-availability of limits will be available in limit check exception queue for further handling.

Log in into OBTFPM application, limit check exception queue. Limit check exception failed tasks for Trade Finance transactions must be listed in your queue. Open the task, to see summary tiles that display a summary of important fields with values.



Note

On Approval of the exception task, system should validate the Limit Availability, Limit Expiry Date in the Limit System and create Earmark in the ELCM system. In case if the Limit is not available or the Limit is expired, then system should display an error message and should not allow the user to approve and proceed.

Limit check Exception approver can do the following actions:

Approve

- Limit enhanced in the Back End (outside this process).
- Without enhancing limit in the Back End.

Refer

- Refer back to DE providing alternate limit id to map
- Refer additional collateral to be mapped

Reject

The transaction due to non-availability of limits capturing reject reason.

Summary

FuTura Bank Free Tasks FBN UK (GS1) Feb 1, 2019 SRIDHAR01 subham@gmail.com

Import LC Issuance - Limit Earmarking Exception Approval :: Application No: GS1ILCI000006057 Documents Remarks Audit

Summary Screen (1 / 1)

Main Details Form Of LC : IRREVOCABLE Submission Mode : Desk Date Of Issue : 2019-02-01	Availability Available With : ALLAINBBKHA Available By : NEGOTIATION Port of Loading : Port of Discharge : NEW YORK	Payment Period Of Present. : 21 Confirmation Instr. : WITHOUT	Documents & Conditions Document 1 : AIRDOC Document 2 : INSDOC Document 3 : INVDOC Document 4 : MARDOC
Preview Message Details Confirm. Required : No Confirm. Response : Response Date :	Preview Messages Confirm. Required : No Confirm. Response : Response Date :	Revolving Details Revolving : NO Revolving In : Revolving Frequency :	Limits Details Limit Currency : GBP Limit Contribution : 31350 Limit Status : Earmark Fa... Collateral Currency : GBP Collateral Contr. : 1650 Collateral Status : Success
Party Details Applicant : NESTLE Advising Bank : HSBC BANK Beneficiary : EMR & CO	Charge Charge : Commission : Tax : Block Status : Not Initia...	Compliance KYC : Verified Sanctions : Verified AML : Verified	Accounting Details Event : Account Number : Branch :

Audit Reject Hold Refer Cancel Approve Back Next

Tiles Displayed in Summary:

- **Main Details** - User can view and modify details about application details and LC details, if required.
- **Party Details** - User can view and modify party details like beneficiary, advising bank etc., if required
- **Availability** - User can view and modify availability and shipment details, if required.
- **Payment** - User can view and modify all details related to payments, if required.
- **Documents & Condition** - User can view and modify the documents required grid and the additional conditions grid, if required.
- **Limits Details** - User can view and modify limits details, if required.
- **Charges** - User can view and modify charge details, if required.
- **Revolving Details** - User can view and modify revolving details on revolving LC, if applicable.
- **Preview Messages** - User can view and modify preview details, if required.
- **Compliance** - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- **Accounting Details** - User can view the accounting entries generated in back office.



Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries."

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process. On reject, a reject notification gets generated to the applicant.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others	
Cancel	Cancel the Import LC Issuance Limit exception check and the task gets landed in My Task menu.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

Multi Level Approval

Log in into OBTFPM application and open the task to see the summary tiles. The tiles should display a list of important fields with values. User must be able to drill down from summary Tiles into respective data segments to verify the details of all fields under the data segment.

In case of MT798, on approval the task is handed off to back office system to create LC contract and generate the required MT700/701/740 messages.



The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTfM displays the Handoff failure error during the Approval of the task.

Authorization Re-Key

For non online channel, application will request approver for few critical field values as an authorization step. If the values captured match with the values available in the screen, system will allow user to open the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message.

Open the task and re-key some of the critical field values from the request in the Re-key screen. Some of the fields below will dynamically be available for re-key.:

- Currency
- Amount

Re-key is applicable to the first approver in case of multiple approvers. All approvers will however be able to see the summary tiles and the details in the screen by drill down from tiles.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the required documents. Application displays mandatory documents to be uploaded for Import LC Issuance. Place holders are also available to upload additional documents submitted by the applicant.	
Remarks	Provide any additional information regarding the LC. This information can be viewed by other users processing the request.	
Incoming Message	Displays the incoming message, if any.	

Field	Description	Sample Values
Action Buttons		
Proceed	On proceed, the screen navigates to approval summary screen.	
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R5 - Others	
Cancel	Cancel the Import LC Issuance Approval Rekey.	

Summary

Import LC Issuance
Approval Task Level 1 : Application No:- PK1ILCI000071911

Documents Remarks Overrides Customer Instruction Common Group Messages Incoming Message Signatures

Main Form of LC : IRREVOCABLE Submission Mode : Desk Date of Issue : 2021-05-05	Availability Available With : Available By : Port of Loading : Port of Discharge :	Payment Period of Present. : Confirmation Instr. : WITHOUT	Documents and Conditions Document 1 : Document 2 :	Additional Fields Click here to view Additional fields :
Advices Advice 1 : Advice 2 :	Revolving Details Revolving : NO Revolving In : Revolving Frequency :	Limits and Collaterals Limit Currency : Limit Contribution : Limit Status : Not Verified Collateral Currency : Collateral Contr. : Collateral Status : Not Verified	Commission, Charges and Taxes Charge : Not Available Commission : Not Available Tax : Not Available Block Status : Not Available	Preview Messages Language : ENG Preview Message : -
Party Details Applicant : Trade Indi Beneficiary : Trade Indi	Compliance KYC : Not Verified Sanctions : Verified AML : Verified	Accounting Details Event : Account Number : Branch :	Exception (Approval) AmountBlockKYC : EXCEPTION PLEASE VISIT : - REMARKS FOR MORE DETAILS :	Tracer Details Tracer Code : CHG_COM_TR Required : Yes Medium : Frequency : 1

Audit Reject Hold Refer Cancel Approve

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Availability - User can view and modify availability and shipment details, if required.
- Payment - User can view and modify all details related to payments, if required.
- Documents & Condition - User can view and modify the documents required grid and the additional conditions grid, if required.
- Limits Details - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Preview Message details - User can view and modify preview details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Accounting Details - User can view the accounting entries generated in back office.

**Note**

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process. On reject, a reject notification gets generated to the applicant.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others	
Cancel	Cancel the approval and the task gets landed in My Task menu.	

Field	Description	Sample Values
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the Back End system for posting.	

Customer - Acknowledgement

Customer Acknowledgment is generated every time a new Import LC Issuance is requested from the customer. The acknowledgment letter format is as follows:

To:

<CUSTOMER NAME>

DATE: DD-MM-YYYY

<CUSTOMER ADDRESS>

Dear Sir,

SUB: Acknowledgement to your LC Application number <CUSTOMER REFERENCE NUMBER> dated <APPLICATION DATE>

This letter is to inform you that we have received your application for issue of Import LC with the below details:

CUSTOMER NAME: <CUSTOMER NAME>

CURRENCY/AMOUNT: <LC CCY/AMT>

YOUR REFERENCE NO: <CUSTOMER REFERENCE NUMBER>

OUR REF NUMBER: <PROCESS REFERENCE NUMBER>

We have also received the following Documents from you for processing the request:

Document Name 1

Document Name 2

Document Name n

We have registered your request. Please quote our reference < PROCESS REF NUMBER> in any future correspondence.

This acknowledgement does not constitute issuance of LC.

Thank you for banking with us.

Regards,

<DEMO BANK>

Notice: This document is strictly private, confidential and personal to its recipients and should not be copied, distributed or reproduced in whole or in part, nor passed to any third party. The information contained in this e-mail/ message and/or attachments to it may contain confidential or privileged information. If you are not the intended recipient, any dissemination, use, review, distribution, printing or copying of the information contained in this message and/or attachments to it are strictly prohibited. If you have received this communication in error, please notify us by reply e-mail or telephone and immediately and permanently delete the message and any attachments.

Thank you

Customer - Reject Advice

Reject Letter is generated by the system and addressed to the customer, when a task is rejected by the user. The Reject Letter format is as follows:

FROM:

<BANK NAME>

<BANK ADDRESS>

TO:

DATE <DD/MM/YYYY>

<CUSTOMER NAME>

<CUSTOMER ADDRESS>

<CUSTOMER ID>

Dear Sir,

SUB: Your Import LC Application <Customer Reference Number> under our Process Ref
<Process Ref No> - Rejected

Further to your recent Import LC application request dated <Application Date -DD/MM/YYYY>, under our process ref no <process ref no>, this is to advise you that we will not be able to issue the required LC.

After a thorough review of your application and the supporting documents submitted, we have concluded we will not be able to issue the LC due to the below reason

<Reject Reason >

On behalf of Demo Bank, we thank you for your ongoing business and trust we will continue to serve you in future.

For any further queries about details of your Import LC application review, please contact us at our bank customer support ph.no xxxxxxxxxxxx

Yours Truly

Authorized Signatory

Reject Approval

As a Reject approver, user can review a transaction rejected and waiting for reject confirmation.

Log in into OBTFPM application to view the reject approval tasks for Import LC Issuance in queue. On opening the task, you will see summary tiles. The tiles will display a list of important fields with values.

The tile containing the screen from where the reject was triggered will be highlighted in red.

User can drill down from reject summary tiles into respective data segments to verify the details of all fields under the data segment.

Application Details

The application details data segment have values for requests received from both non-online and online channels.

Summary

The data captured during handling of the transaction until the stage when reject is given will be available in the summary tile. Other fields will be blank when verified from summary tile.

The data segment in which the task was rejected will have the tiles highlighted in a different colour (red).

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Payments - User can view and modify all details related to payments, if required.
- Documents & Condition - User can view and modify the documents required grid and the additional conditions grid, if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Revolving Details - User can view and modify revolving details on revolving LC, if applicable.
- Preview Messages - User can view and modify preview details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject Approve	On click of Reject Approve, the transaction is rejected.	
Reject Decline	On click of Reject Decline, the task moves back to the stage where it was rejected. User can update the reason for reject decline in remarks.	
Hold	User can put the transaction on 'Hold'. Task will remain in Pending state.	
Cancel	Cancel the Reject Approval.	

A

Additional Details	
Action Buttons	39
Charge Details	36, 51
Limits & Collateral	32
Preview	54
Revolving Details	31
Tracer Details	55

Availability

Action Buttons	25
Application	19
Availability Details	20
Description Of Goods And Or Services	25
Shipment Details	22

B

Benefits	4
----------	---

C

Customer - Acknowledgement	71
Customer - Reject Letter	72
Customer Response - Draft Confirmation	
Application	58
Customer Response	59
Action Buttons	60
Draft Confirmation	59
Summary	59

D

Data Enrichment	41
Additional Details	46
Availability	43
Documents & Conditions	44
Main Details	43
Payment Details	43
Summary	55
Documents & Conditions	
Additional Conditions	45
Application	44
Documents Required	44

E

Exceptions	
Exception - Amount Block	61
Exception - Know Your Customer (KYC)	63
Exception - Limit Check/Credit	65

I

Import LC Issuance	5
--------------------	---

Customer Response - Draft Confirmation	58
Data Enrichment	41
Exceptions	60
Multi Level Approval	67
Registration	5
Scrutiny	13

K

Key Features	4
--------------	---

M

Main Details

Action Buttons	18
Application	16
Application Details	16
LC Details	17

Multi Level Approval

Authorization Re-Key	68
----------------------	----

O

Overview	4
----------	---

P

Payment Details

Action Buttons	29
Application	26
Payment Details	27

R

Registration	5
Application Details	7
LC Details	9
Miscellaneous	12
Reject Approval	72
Action Buttons	73
Application Details	72
Summary	73

S

Scrutiny	13
Additional Details	31
Availability	19
Main Details	16
Payment Details	26
Summary	39

T

Tracer Details

Acknowledgement Tracer	55
Charges Tracer	56

Confirmation Tracer	56
---------------------------	----

References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc>.

Feedback and Support

Oracle welcomes customers' comments and suggestions on the quality and usefulness of the document. Your feedback is important to us. If you have a query that is not covered in this user guide or if you still need assistance, please contact documentation team.